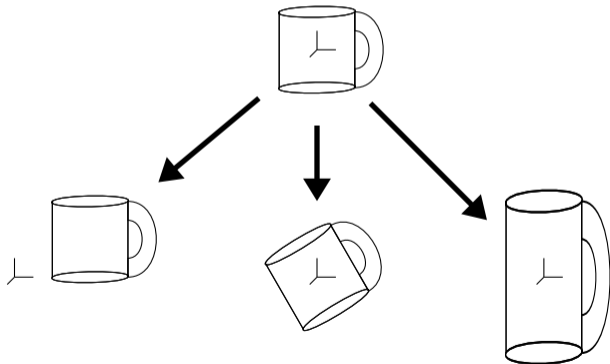


3D Transformations

CMSC 435/634

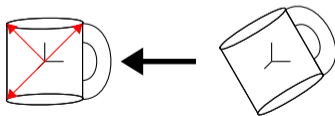
Transformation

Webster: The operation of changing one configuration or expression into another in accordance with a mathematical rule



Using Transformation

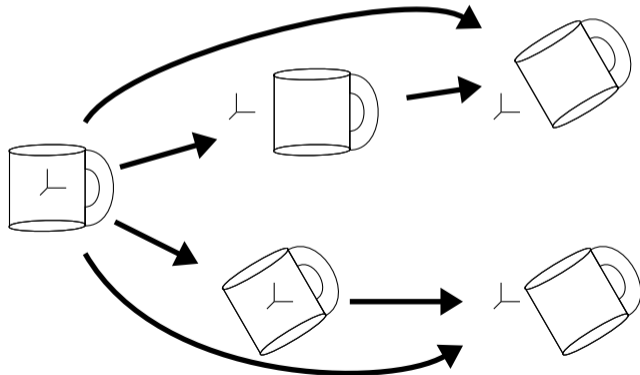
- Points on object represented as vector offset from origin
- Transform is a vector to vector function
 - $\vec{p}' = f(\vec{p})$
- Relativity:
 - From \vec{p}' point of view, object is transformed
 - From \vec{p} point of view, coordinate system changes
- Inverse transform, $\vec{p} = f^{-1}(\vec{p}')$



Composing Transforms

- Order matters

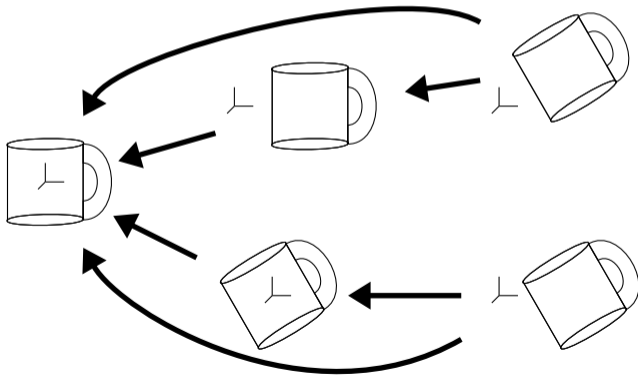
- $R(T(\vec{p})) = R \circ T(\vec{p})$
- $T(R(\vec{p})) = T \circ R(\vec{p})$



Inverting Composed Transforms

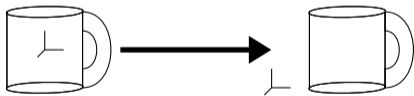
- Reverse order

- $(R \circ T)^{-1}(\vec{p}') = T^{-1}(R^{-1}(\vec{p}'))$
- $(T \circ R)^{-1}(\vec{p}') = R^{-1}(T^{-1}(\vec{p}'))$



Translation

- $\vec{p}' = \vec{p} + \vec{t}$
- $$\begin{bmatrix} p'_x \\ p'_y \\ p'_z \end{bmatrix} = \begin{bmatrix} p_x \\ p_y \\ p_z \end{bmatrix} + \begin{bmatrix} t_x \\ t_y \\ t_z \end{bmatrix} = \begin{bmatrix} p_x + t_x \\ p_y + t_y \\ p_z + t_z \end{bmatrix}$$
- \vec{t} says where \vec{p} -space origin ends up ($\vec{p}' = \vec{0} + \vec{t}$)
- Composition: $\vec{p}' = (\vec{p} + \vec{t}_0) + \vec{t}_1 = \vec{p} + (\vec{t}_0 + \vec{t}_1)$



Linear Transforms

- $$\begin{bmatrix} p'_x \\ p'_y \\ p'_z \end{bmatrix} = \begin{bmatrix} a & b & c \\ d & e & f \\ g & h & i \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ p_z \end{bmatrix}$$

- Matrix says where \vec{p} -space axes end up

- $$\begin{bmatrix} a \\ d \\ g \end{bmatrix} = \begin{bmatrix} a & b & c \\ d & e & f \\ g & h & i \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} b \\ e \\ h \end{bmatrix} = \begin{bmatrix} a & b & c \\ d & e & f \\ g & h & i \end{bmatrix} \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$$

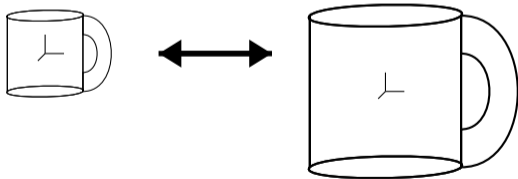
$$\begin{bmatrix} c \\ f \\ i \end{bmatrix} = \begin{bmatrix} a & b & c \\ d & e & f \\ g & h & i \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$

- Composition: $\vec{p}' = M (N \vec{p}) = (M N) \vec{p}$

Common case: Scaling

- $$\begin{bmatrix} p'_x \\ p'_y \\ p'_z \end{bmatrix} = \begin{bmatrix} s_x & p_x \\ s_y & p_y \\ s_z & p_z \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & s_z \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ p_z \end{bmatrix}$$

- Inverse:
$$\begin{bmatrix} 1/s_x & 0 & 0 \\ 0 & 1/s_y & 0 \\ 0 & 0 & 1/s_z \end{bmatrix}$$



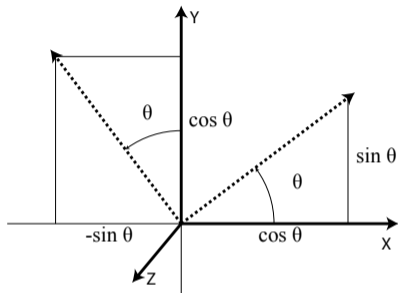
Common case: Reflection

- Negative scaling

- $$\begin{bmatrix} p'_x \\ p'_y \\ p'_z \end{bmatrix} = \begin{bmatrix} -p_x \\ p_y \\ p_z \end{bmatrix} = \begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ p_z \end{bmatrix}$$

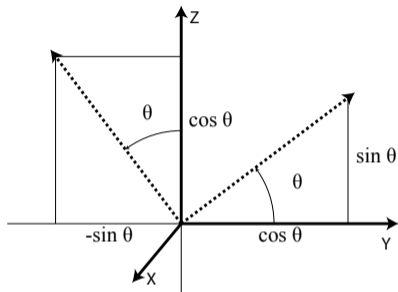


Common case: Rotation



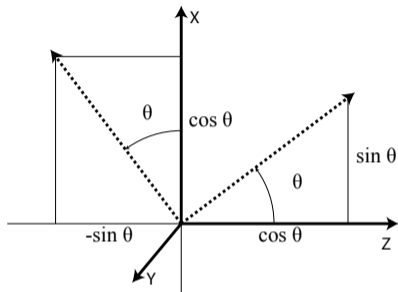
- Rotate around Z: $\vec{p}' = \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \vec{p}$
- Orthogonal, so $M^{-1} = M^T$

Common case: Rotation



- Rotate around X: $\vec{p}' = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{bmatrix} \vec{p}$
- Orthogonal, so $M^{-1} = M^T$

Common case: Rotation



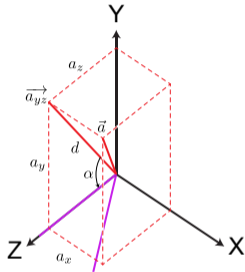
- Rotate around Y: $\vec{p}' = \begin{bmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{bmatrix} \vec{p}$
- Orthogonal, so $M^{-1} = M^T$

Composing Transforms

- Scale by s along axis \hat{a}
 - Rotate to align \hat{a} with Z
 - Scale along Z
 - Rotate back

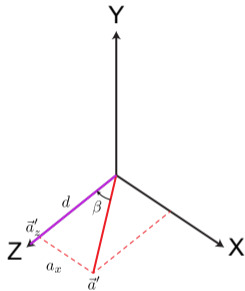
Rotate by α around X into XZ plane

- Projection of \hat{a} onto YZ: $\vec{a}_{yz} = \begin{bmatrix} 0 \\ a_y \\ a_z \end{bmatrix}$
- length $d = \sqrt{(a_y)^2 + (a_z)^2}$
- So $\cos \alpha = a_z/d$, $\sin \alpha = a_y/d$
- $R_X = \begin{bmatrix} 1 & 0 & 0 \\ 0 & a_z/d & -a_y/d \\ 0 & a_y/d & a_z/d \end{bmatrix}$
- Result $\hat{a}' = \begin{bmatrix} a_x \\ 0 \\ d \end{bmatrix}$



Rotate by $-\beta$ around Y to Z axis

- $\hat{a}' = \begin{bmatrix} a_x \\ 0 \\ d \end{bmatrix}$
- length = 1
- So $\cos \beta = d$, $\sin \beta = a_x$
- $R_Y = \begin{bmatrix} d & 0 & -a_x \\ 0 & 1 & 0 \\ a_x & 0 & d \end{bmatrix}$
- Result $\hat{a}'' = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$



Composing Transforms

- Scale by s along Z: $S_Z = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & s \end{bmatrix}$
- Scale by s along axis \hat{a}
 - Rotate to align \hat{a} with XZ plane
 - Rotate to align \hat{a} with Z axis
 - Scale along Z
 - Undo Z-axis alignment rotation
 - Undo XZ-plane alignment rotation
 - $\vec{p}' = R_X^{-1} R_Y^{-1} S_Z R_Y R_X \vec{p}$

Affine Transforms

- Affine = Linear + Translation
- Composition? $A (B \vec{p} + \vec{t}_0) + \vec{t}_1 = A B \vec{p} + A \vec{t}_0 + \vec{t}_1$
- Yuck!

Homogeneous Coordinates

- Add a '1' to each point

- $$\begin{bmatrix} p'_x \\ p'_y \\ p'_z \\ 1 \end{bmatrix} = \left[\begin{array}{ccc|c} a & b & c & t_x \\ d & e & f & t_y \\ g & h & i & t_z \\ \hline 0 & 0 & 0 & 1 \end{array} \right] \begin{bmatrix} p_x \\ p_y \\ p_z \\ 1 \end{bmatrix}$$

- $\vec{p}'_x = (a p_x + b p_y + c p_z) + t_x$
- $\vec{p}'_y = (d p_x + e p_y + f p_z) + t_y$
- $\vec{p}'_z = (g p_x + h p_y + i p_z) + t_z$
- $1 = (0p_x + 0p_y + 0p_z) + 1$

Homogeneous Coordinates

- $$\begin{bmatrix} p'_x \\ p'_y \\ p'_z \\ 1 \end{bmatrix} = \left[\begin{array}{ccc|c} a & b & c & t_x \\ d & e & f & t_y \\ g & h & i & t_z \\ \hline 0 & 0 & 0 & 1 \end{array} \right] \begin{bmatrix} p_x \\ p_y \\ p_z \\ 1 \end{bmatrix}$$
- $\vec{p}' = [\vec{x} \ \vec{y} \ \vec{z} \ | \ \vec{t}] \vec{p}$
 - \vec{t} says where the \vec{p} -space origin ends up
 - $\vec{x}, \vec{y}, \vec{z}$ say where the \vec{p} -space axes end up
- Composition: Just matrix multiplies!

Composing Transforms

- Rotate by θ about line between \vec{p}_0 and \vec{p}_1 :
 - Translate \vec{p}_0 to origin
 - Rotate to align $\vec{p}_1 - \vec{p}_0$ with Z
 - Rotate by θ around Z
 - Undo $\vec{p}_1 - \vec{p}_0$ rotation
 - Undo translation
- $T^{-1}R_X^{-1}R_Y^{-1}R_Z(\theta)R_YR_XT$

Vectors

- Transform by *Jacobian Matrix*
- Matrix of partial derivatives

$$\bullet \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \left[\begin{array}{ccc|c} a & b & c & t_x \\ d & e & f & t_y \\ g & h & i & t_z \\ \hline 0 & 0 & 0 & 1 \end{array} \right] \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} x' \\ y' \\ z' \end{bmatrix} = \begin{bmatrix} a x + b y + c z + t_x \\ d x + e y + f z + t_y \\ g x + h y + i z + t_z \end{bmatrix}$$

$$\bullet J = \begin{bmatrix} \partial x' / \partial x & \partial x' / \partial y & \partial x' / \partial z \\ \partial y' / \partial x & \partial y' / \partial y & \partial y' / \partial z \\ \partial z' / \partial x & \partial z' / \partial y & \partial z' / \partial z \end{bmatrix} = \begin{bmatrix} a & b & c \\ d & e & f \\ g & h & i \end{bmatrix}$$

- Use upper-left 3x3, or 0 for final coordinate:

$$\bullet \begin{bmatrix} a & b & c \\ d & e & f \\ g & h & i \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} \text{ or } \left[\begin{array}{ccc|c} a & b & c & t_x \\ d & e & f & t_y \\ g & h & i & t_z \\ \hline 0 & 0 & 0 & 1 \end{array} \right] \begin{bmatrix} x \\ y \\ z \\ 0 \end{bmatrix}$$

Normals

- Normal should remain perpendicular to tangent vectors

- $\vec{n} \cdot \vec{v} = \vec{n}' \cdot \vec{v}' = 0$

- $$[n_x \quad n_y \quad n_z] \begin{bmatrix} v_x \\ v_y \\ v_z \end{bmatrix} = ([n_x \quad n_y \quad n_z] J^{-1}) \left(J \begin{bmatrix} v_x \\ v_y \\ v_z \end{bmatrix} \right) = 0$$

- $\vec{n}' = \vec{n} J^{-1}$

- Multiply by inverse on right

- OR multiply *column* normal by inverse transpose

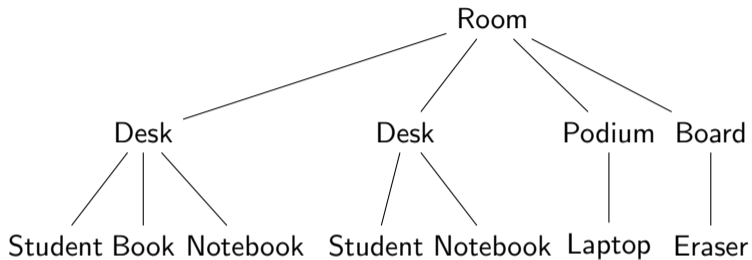
- $\vec{n}' = (J^{-1})^T \vec{n}$

- $(J^{-1})^T = J$ if J is orthogonal (only rotations)

Coordinate System / Space

- Origin + Axes
- Reference frame
- Convert by matrix
- OpenGL convention (**we use this!**): Points are columns
 - $\vec{p}_{table} = TableFromPencil \vec{p}_{pencil}$
 - $\vec{p}_{room} = RoomFromTable TableFromPencil \vec{p}_{pencil}$
 - $\vec{p}_{room} = RoomFromPencil \vec{p}_{pencil}$
- Same thing in D3D convention (Points are rows, everything transposed)
 - $\vec{p}_{table} = \vec{p}_{pencil} PencilToTable$
 - $\vec{p}_{room} = \vec{p}_{pencil} PencilToTable TableToRoom$
 - $\vec{p}_{room} = \vec{p}_{pencil} PencilToRoom$

Nesting



Matrix Stack

- Remember transformation, return to it later
- Push a copy, modify the copy, pop
- Keep matrix and update matrix and inverse
- Push and pop both matrix and inverse together

code

```
transform(WorldFromRoom);  
push;  
transform(RoomFromDesk);  
push;  
transform(DeskFromStudent);  
pop;  
push;  
transform(DeskFromBook);  
...
```

stack (start with Identity)

```
WfR  
WfR WfR  
WfD WfR  
WfD WfD WfR  
WfS WfD WfR  
WfD WfR  
WfD WfD WfR  
WfB WfD WfR
```

Common Spaces

- Object / Model
 - Logical coordinates for modeling
 - May have several more levels
- World
 - Common coordinates for everything
- View / Camera / Eye
 - eye/camera at $(0, 0, 0)$, looking down Z (or -Z) axis
 - planes: left, right, top, bottom, near/hither, far/yon
- Normalized Device Coordinates (NDC) / Clip
 - Visible portion of scene from $(-1, -1, -1)$ to $(1, 1, 1)$
 - Sometimes 0 to 1 (D3D uses $(-1, -1, 0)$ to $(1, 1, 1)$)
- Raster / Pixel / Viewport
 - 0, 0 to x-resolution, y-resolution
- Device / Screen
 - May translate or scale to fit actual screen

WorldFromModel / ViewFromModel

- WorldFromModel
 - All shading and rendering in World space
 - Transform all objects and lights
- ViewFromModel
 - World can be any common space, might as well use View space
 - Serves just as well for single view
 - Old OpenGL used to have a MODELVIEW transform built in
- Ray tracing implicitly does World \rightarrow Raster

World Coordinate Precision

- Floating point precision is not enough for large worlds
- Float precision of x is (next lower power of 2) * $2^{-23} \approx x * 10^{-7}$
 - Earth radius $6.378 * 10^6 m$; UMBC at $39.2498^\circ N, 76.7115^\circ W$
 - $\therefore X = 1.135 * 10^6 m; Y = 4.807 * 10^6 m; Z = 4.035 * 10^6 m$
 - Position resolution: $X \pm 0.125m; Y \pm 0.5m; Z \pm 0.25m$
- Use doubles ... or recenter world space
 - UnrealEngine: *Translated World* or *Large World Coordinates (LWC)*
 - Translated World: origin at camera since floating point precision is better near origin
 - Errors are farther away where they're harder to see
 - LWC: include a tile translation exactly representable as float (0's in least significant bits) and float world positions relative to tile.
- Only need to worry about this for **huge** worlds

ViewFromWorld

- Also called Viewing or Camera transform
- LookAt
 - $\vec{from}, \vec{to}, \vec{up}$
 - $\hat{w} = \text{normalize}(\vec{to} - \vec{from}); \hat{u} = \text{normalize}(\hat{w} \times \vec{up}); \hat{v} = \hat{u} \times \hat{w}$
 - $\left[\hat{u} \mid \hat{v} \mid \hat{w} \mid \vec{from} \right]$
- Roll / Pitch / Yaw (use without roll for FPS)
 - Translate to camera center, rotate around camera
 - $R_z R_x R_y T$
 - Can have gimbal lock when first and last axes align
- Orbit
 - Rotate around object center, translate out
 - $T R_z R_x R_y$
 - Also can have gimbal lock

NDCFromView

- Also called *Projection* transform
- Orthographic / Parallel
 - Translate & Scale to view volume

- $$\begin{bmatrix} \frac{2}{r-l} & 0 & 0 & -\frac{r+l}{r-l} \\ 0 & \frac{2}{t-b} & 0 & -\frac{t+b}{t-b} \\ 0 & 0 & \frac{2}{n-f} & -\frac{n+f}{n-f} \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- Perspective
 - More complicated...

RasterFromNDC

- Also called *Viewport* transform
- $[-1, 1], [-1, 1], [-1, 1] \rightarrow [0, n_x], [0, n_y], [0, n_z]$
- or $\rightarrow [-\frac{1}{2}, n_x - \frac{1}{2}], [-\frac{1}{2}, n_y - \frac{1}{2}], [-\frac{1}{2}, n_z - \frac{1}{2}]$
 - Translate by $(1, 1, 1)$: $(-1, -1, -1) \rightarrow (0, 0, 0)$; $(1, 1, 1) \rightarrow (2, 2, 2)$
 - Scale by $(n_x/2, n_y/2, n_z/2)$: $(2, 2, 2) \rightarrow (n_x, n_y, n_z)$
 - (if needed) Translate by $(-\frac{1}{2}, -\frac{1}{2}, -\frac{1}{2})$ — puts pixel centers at integer coordinates

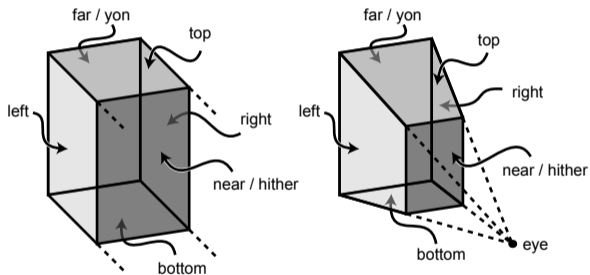
$$\begin{bmatrix} \frac{n_x}{2} & 0 & 0 & \frac{n_x}{2} \\ 0 & \frac{n_y}{2} & 0 & \frac{n_y}{2} \\ 0 & 0 & \frac{n_z}{2} & \frac{n_z}{2} \\ 0 & 0 & 0 & 1 \end{bmatrix} \text{ or } \begin{bmatrix} \frac{n_x}{2} & 0 & 0 & \frac{n_x-1}{2} \\ 0 & \frac{n_y}{2} & 0 & \frac{n_y-1}{2} \\ 0 & 0 & \frac{n_z}{2} & \frac{n_z-1}{2} \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

ScreenFromRaster

- Usually just a translation
 - Some game consoles include scaling for performance
 - More complicated for tiled displays, domes, etc.
- Usually handled by windowing system

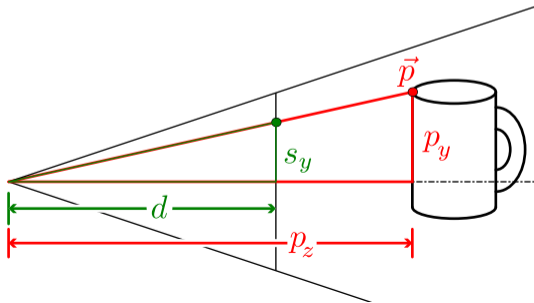
Perspective View Frustum

- *Orthographic* view volume is a rectangular volume
- Perspective is a truncated pyramid or *frustum*



Perspective Transform

- Ray tracing
 - Given screen (s_x, s_y) , parameterize all points \vec{p}
- Perspective Transform
 - Given \vec{p} , find (s_x, s_y)
 - Use similar triangles
 - $s_y/d = p_y/p_z$ So $s_y = d p_y/p_z$



Homogeneous Equations

- Same total degree for every term
- Introduce a new redundant variable
- Plane equation
 - $aX + bY + c = 0$
 - $X = x/w, Y = y/w$
 - $ax/w + by/w + c = 0$
 - $\rightarrow ax + by + cw = 0$
- Quadric
 - $aX^2 + bXY + cY^2 + dX + eY + f = 0$
 - $X = x/w, Y = y/w$
 - $ax^2/w^2 + bxy/w^2 + cy^2/w^2 + dx/w + ey/w + f = 0$
 - $\rightarrow ax^2 + bxy + cy^2 + dxw + eyw + fw^2 = 0$

Homogeneous Coordinates

- Rather than $(x, y, z, 1)$, use (x, y, z, w)
- Real 3D point is $(X, Y, Z) = (x/w, y/w, z/w)$
- Can represent Perspective Transform as 4x4 matrix

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1/d & 0 \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ p_z \\ 1 \end{bmatrix} = \begin{bmatrix} p_x \\ p_y \\ p_z \\ p_z/d \end{bmatrix} \rightarrow \begin{bmatrix} d p_x/p_z \\ d p_y/p_z \\ d \end{bmatrix}$$

Homogeneous Depth

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1/d & 0 \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ p_z \\ 1 \end{bmatrix} = \begin{bmatrix} p_x \\ p_y \\ p_z \\ p_z/d \end{bmatrix} \rightarrow \begin{bmatrix} d p_x/p_z \\ d p_y/p_z \\ d \end{bmatrix}$$

- Lose depth information
- Can't get $d p'_z/p_z = p_z$
 - Plus $x/z, y/z, z$ isn't linear
- Use *Projective Geometry*

Projective Geometry

- If (x, y, z) lie on a plane, $(x/z, y/z, 1/z)$ also lie on a plane
- $1/z$ is strictly ordered: if $z_1 < z_2$, then $1/z_1 > 1/z_2$
- New matrix:

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ p_z \\ 1 \end{bmatrix} = \begin{bmatrix} p_x \\ p_y \\ 1 \\ p_z \end{bmatrix} \rightarrow \begin{bmatrix} p_x/p_z \\ p_y/p_z \\ 1/p_z \end{bmatrix}$$

Getting Fancy

- Tuning transform output
 - Field of view (x/y scale)
 - Near/far range (z scale and translate)

$$\begin{bmatrix} a & 0 & 0 & 0 \\ 0 & b & 0 & 0 \\ 0 & 0 & c & d \\ 0 & 0 & -1 & 0 \end{bmatrix} \begin{bmatrix} p_x \\ p_y \\ p_z \\ 1 \end{bmatrix} = \begin{bmatrix} a p_x \\ b p_y \\ c p_z + d \\ -p_z \end{bmatrix} \rightarrow \begin{bmatrix} -a p_x / p_z \\ -b p_y / p_z \\ -c - d / p_z \end{bmatrix}$$

- $b = 1/\tan(yfov/2)$; $a = 1/\tan(xfov/2) = b * height/width$;
- OpenGL convention: Solve for $(0, 0, -n) \rightarrow (0, 0, -1)$; $(0, 0, -f) \rightarrow (0, 0, 1)$
 - $c = (n + f)/(n - f)$; $d = (2nf)/(n - f)$
- D3D convention: Solve for $(0, 0, n) \rightarrow (0, 0, 0)$; $(0, 0, f) \rightarrow (0, 0, 1)$
 - $c = f/(n - f)$; $d = nf/(f - n)$