Commutative Queries

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6 Abstract

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We consider polynomial-time Turing machines that have access to two oracles and investigate when the order of oracle queries is significant. The oracles used here are complete languages for the Polynomial Hierarchy (PH). We prove that, for solving decision problems, the order of oracle queries does not matter. This improves upon the previous result of Hemaspaandra, Hemaspaandra and Hempel, who showed that the order of the queries does not matter if the base machine asks only one query to each oracle. On the other hand, we prove that, for computing functions, the order of oracle queries does matter, unless PH collapses.

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1 Introduction

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In this paper we examine the complexity of languages and functions computed by polynomial-time Turing machines which have access to two oracles. We ask whether the order of the queries is significant. That is, given oracles E and H, does it matter if we ask the queries to E first or to H first? For this question to be nontrivial, the complexity of E and H must be significantly different. Otherwise, the queries to E and H would be trivially interchangeable. We choose our oracles E and H to be complete languages for different levels of the Polynomial Hierarchy (PH) — for example, E might be complete for NP and H complete for Σ_2^P . (We use E for the "easier" oracle and H for the "harder" one.) Our results show that when a polynomial-time machine is allowed parallel queries to E and H, then the order of the queries does not matter when the machine is recognizing a language — i.e., the queries to E and H are commutative. In particular, we show that for all polynomial bounded E0 and E1 and E2 and E3 and E4 are commutative. In particular, we show that for all polynomial bounded E3 and E4 are commutative.

$$P^{H_r(n)-tt;E_s(n)-tt} = P^{E_s(n)-tt;H_r(n)-tt}$$
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where $P^{A_{a(n)-tt};B_{b(n)-tt}}$ denotes the class of languages recognized by polynomial-time Turing machines that ask a(n) parallel queries to A followed by b(n) parallel queries to B. This result improves upon the previous results of Hemaspaandra, Hemaspaandra and Hempel [HHH96] who showed that the order of the queries does not matter if the base machine asks just one query to each oracle. The techniques they use do not generalize to computations that involve more than two queries in total. Furthermore, our new results extend to machines that ask several rounds of queries to E and H. For example, we can show that

$$P^{H_{a-tt};E_{b-tt};H_{c-tt};E_{d-tt}} = P^{H_{a-tt};H_{c-tt};E_{b-tt};E_{d-tt}}$$

In the proofs of these results, it is simple to show that the queries to the easy oracle E can be delayed — i.e., we can always ask the hard questions first. The difficulty is in showing that the queries to the hard oracle H can also be delayed.

In this paper, we also consider *functions* computable by polynomial-time Turing machines with access to two oracles. In contrast to the language classes discussed above, we show that for function classes the queries to E and H do not commute. First, we show that every function computed by a machine that queries E first has an equivalent machine that queries H first. That is, for polynomial bounded r(n) and s(n),

$$\operatorname{PF}^{E_{s(n)\text{-}\operatorname{tt}};H_{r(n)\text{-}\operatorname{tt}}} \subseteq \operatorname{PF}^{H_{r(n)\text{-}\operatorname{tt}};E_{s(n)\text{-}\operatorname{tt}}}.$$

However, asking queries to H first is strictly more powerful unless PH collapses, because for polynomial-time computable $r(n) \le \epsilon \log n$ (for some $\epsilon < 1$) and for $s(n) \in O(\log n)$

$$\operatorname{PF}^{H_r(n)\text{-tt};E_s(n)\text{-tt}} \subseteq \operatorname{PF}^{E_s(n)\text{-tt};H_r(n)\text{-tt}} \Longrightarrow \operatorname{PH} \subseteq \operatorname{NP}^E.$$

The proof of this result extends in a straightforward manner to the case with more than two rounds of parallel queries. For example, we can show that

$$\mathsf{PF}^{H_r(n)\text{-}\mathsf{tt};H_s(n)\text{-}\mathsf{tt};E_p(n)\text{-}\mathsf{tt}} \subset \mathsf{PF}^{H_r(n)\text{-}\mathsf{tt};E_p(n)\text{-}\mathsf{tt};H_s(n)\text{-}\mathsf{tt}} \Longrightarrow \mathsf{PH} \subset \mathsf{NP}^E$$

where r(n), s(n) and p(n) are in $O(\log n)$ such that $r(n) + s(n) \le \epsilon \log n$ for some $\epsilon < 1$.

Several other studies have examined the effect of the order of access to multiple oracles. Hemaspaandra, Hempel and Wechsung [HHW95] determined when the order of queries to complete languages for the Boolean Hierarchy can be reversed. Stronger results were obtained independently by Agrawal, Beigel and Thierauf [ABT96]. Also, Gasarch and McNicholl [GM96] have investigated the order of oracle queries in a recursion theoretic setting.

2 Preliminaries

Definition 1 Let $P^{A_a(n)$ -tt} be the class of languages recognized by deterministic polynomial-time Turing machines which ask at most a(n) parallel (a.k.a. truth-table) queries to the oracle A on inputs of length n. The polynomial-time machine computes a sequence of a(n) query strings and submits them to the oracle simultaneously. The oracle answers with an a(n)-bit string which specifies the membership of each query string in A. The polynomial-time machine makes no additional use of the oracle. We use $PF^{A_a(n)$ -tt} to denote the analogous class of functions.

For bounded queries to a single oracle, we use standard notation defined above. For multiple oracle queries, new notation is needed.

Definition 2

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- Let $P^{A_{a(n)-tt};B_{b(n)-tt}}$ denote the class of languages recognized by polynomial-time Turing machines that ask a(n) parallel queries to the oracle A followed by b(n) parallel queries to the oracle B on inputs of length n.
- Let $P^{A_{a(n)-tt}||B_{b(n)-tt}}$ denote the class of languages recognized by polynomial-time Turing machines that ask a(n) parallel queries to A simultaneous with b(n) parallel queries to B.
- Let $PF^{A_{a(n)-tt};B_{b(n)-tt}}$ denote the class of functions recognized by polynomial-time Turing machines that ask a(n) parallel queries to A followed by b(n) parallel queries to B.
- Let $PF^{A_{a(n)-tt}||B_{b(n)-tt}|}$ denote the class of functions recognized by polynomial-time Turing machines that ask a(n) parallel queries to A simultaneous with b(n) parallel queries to B.

Note that $P^{A_{a(n)-tt}|B_{b(n)-tt}}$ is trivially contained in both $P^{A_{a(n)-tt};B_{b(n)-tt}}$ and $P^{B_{b(n)-tt};A_{a(n)-tt}}$. In the case that $P^{A_{a(n)-tt};B_{b(n)-tt}} = P^{B_{b(n)-tt};A_{a(n)-tt}}$, we say that a(n) queries to A and b(n) queries B are commutative for language classes. Commutative queries for function classes is defined analogously.

Classes of languages and functions defined by machines that ask more than two rounds of parallel queries are defined similarly. For example, $P^{A_{a-tt};B_{b-tt};C_{c-tt};D_{d-tt}}$ is the class of languages accepted by polynomial-time Turing machines that ask a queries to A, b queries to B, c queries to C and d queries to D in that order.

Definition 3 For $k \geq 1$, we define a Σ_k^P machine to be an NP machine with an oracle that is \leq_m^P complete for Σ_{k-1}^P . By convention, $\Sigma_0^P = P$. The Σ_k^P level of the Polynomial Hierarchy contains exactly the languages recognized by Σ_k^P machines.

Definition 4 We use $\leq_{\mathrm{m}}^{\mathrm{P}}$, $\leq_{\mathrm{m}}^{\mathrm{NP}}$ and $\leq_{\mathrm{conj}}^{\mathrm{P}}$ to denote, respectively, polynomial-time many-one, nondeterministic polynomial-time many-one and polynomial-time conjunctive reductions. Let $A \subseteq \Sigma_A^*$ and $B \subseteq \Sigma_B^*$ be any two languages. Then, $A \leq_{\mathrm{m}}^{\mathrm{P}} B$ if there exists a deterministic polynomial-time computable function f such that all $x \in \Sigma_A^*$,

$$x \in A \iff f(x) \in B.$$

Also, $A \leq_{\mathrm{m}}^{\mathrm{NP}} B$ if there exists an NP machine N such that for all $x \in \Sigma_A^*$, $x \in A$ if and only if some computation path of N(x) outputs a string $y \in B$. Finally, $A \leq_{\mathrm{conj}}^{\mathrm{P}} B$ if there exists a polynomial-time computable function f such that for all $x \in \Sigma_A^*$, $f(x) = \langle y_1, \ldots, y_{r(x)} \rangle$ and

$$x \in A \iff (\forall i, 1 \le i \le r(x))[y_i \in B].$$

- Furthermore, for a language B and a reduction R, we use R(B) to denote the set of languages that are R-reducible to B. For example, $\leq_{\mathrm{m}}^{\mathrm{P}}(B) = \{A : A \leq_{\mathrm{m}}^{\mathrm{P}} B\}$.
- Notation 5 Let A and B be any two languages:
- A(x) is the characteristic function of the set A at x
- $\chi_t^A(x_1,\ldots,x_t)=A(x_1)\cdots A(x_t)$, where juxtaposition means concatenation
- $\bullet \ \#_t^A(x_1,\ldots,x_t) = \|\{i : (1 \le i \le t) \land (x_i \in A)\}\|$
- $\bullet \ A^{\leq m} = \{ x \in A : |x| \leq m \}.$
- $\bullet \ A^{=m} = \{ x \in A : |x| = m \}.$

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- $A^{[m]} = \{S : S \subseteq A \text{ and } |S| \le m\}$
- 43 $A \times A = \{(x, y) : (x \in A) \land (y \in A)\}$
- $\bullet \ A \triangle B = (A \times \overline{B}) \cup (\overline{A} \times B) = \{(x, y) : ((x \in A) \land (y \notin B)) \lor ((x \notin A) \land (y \in B))\}$
- We also use $\#_{\omega}^{A}$ and χ_{ω}^{A} to denote the analogs of $\#_{t}^{A}$ and χ_{t}^{A} that take vectors of any dimension as input. Furthermore, let $\{0,1\}^{m\times t}$ denote the set of vectors $\vec{x} = \{x_1,\ldots,x_t\}$ with t components where each x_i has length m.
- Notation 6 Let A be any language. For a fixed dimension t, the language ODD_t^A consists of those vectors $\vec{x} = \langle x_1, \dots, x_t \rangle$ such that $\#_t^A(\vec{x})$ is odd. The language $\mathrm{ODD}_\omega^A = \bigcup_{t \geq 1} \mathrm{ODD}_t^A$ is defined for vectors of any dimension. The languages EVEN_t^A and EVEN_ω^A are defined analogously. As usual, $\mathrm{ODD}_t^A(\vec{x})$, $\mathrm{ODD}_\omega^A(\vec{x})$, $\mathrm{EVEN}_t^A(\vec{x})$ and $\mathrm{EVEN}_\omega^A(\vec{x})$ in functional form denote the characteristic functions of the respective languages. Finally, we use \oplus to denote addition modulo 2.
- **Definition 7** A function g from X to Y is m-enumerable if there is a polynomial-time computable function f from X to $Y^{[m]}$ such that $(\forall x)[g(x) \in f(x)]$.
- Note that if g can be computed by a polynomial-time machine that makes t queries to A then g is 2^t -enumerable. The function χ_t^A can be computed using t parallel queries to A. In many cases, it has been shown that t queries to A are necessary. In particular, if A is disjunctively self-reducible and χ_t^A is $(2^t 1)$ -enumerable, then $A \in P$ using a tree pruning procedure [BKS95].
 - It will be helpful if the reader is familiar with mind-change proofs, which have been used to show the relationships between serial and parallel queries [Bei91], and with hard/easy arguments, which have been used to show that a collapse of the Boolean Hierarchy implies a collapse of the Polynomial Hierarchy [Kad88, BCO93, CK96, HHH97, BF96]). We use the mind-change technique to show that $ODD_r^H \triangle ODD_s^E$ is $\leq_{1\text{-tt}}^P$ -complete for $P^{H_{r\text{-tt}};E_{s\text{-tt}}}$. The Boolean Hierarchy comes into play because ODD_r^H is complete for the rth level of the Boolean Hierarchy over Σ_k^P . (Recall that H is a Σ_k^P -complete language.)
 - The Boolean Hierarchy over NP is a generalization of the class D^P defined by Papadimitriou and Yannakakis [PY82]. For constant k, the kth level of the Boolean Hierarchy can be defined simply as nested differences of NP languages [CGH⁺88, CGH⁺89]. In general, we can consider the Boolean Hierarchy over Σ_k^P for k > 1, defined as follows:
- **Definition 8** For constant t, a language L is in BH^k_t if there exists a Σ^P_k language L'
- 52 $x \in L \iff \max(\{i: 1 \le i \le t \text{ and } (x,i) \in L'\} \cup \{0\}) \text{ is odd.}$

If H is $\leq_{\mathrm{m}}^{\mathrm{P}}$ -complete for Σ_k^{P} , then the language ODD_t^H is $\leq_{\mathrm{m}}^{\mathrm{P}}$ -complete for BH_t^k . This relationship also extends to non-constant levels of the Boolean Hierarchy. However, dealing with non-constant levels of the Boolean Hierarchy introduces many subtleties and notational complications (q.v. [Wag88, Wag90, Cha97]). We can avoid these difficulties by working directly with the complete languages for the Boolean Hierarchy rather than the hierarchy itself. When we use the hard/easy arguments, it is more convenient to use the "Boolean Languages" defined below rather than ODD_t^H .

Definition 9 For a language A, we define BL_t^A recursively:

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$$BL_{1}^{A} = A$$

$$BL_{2t}^{A} = \{\langle x_{1}, \dots, x_{2t} \rangle : \langle x_{1}, \dots, x_{2t-1} \rangle \in BL_{2t-1}^{A} \text{ and } x_{2t} \notin A\}$$

$$BL_{2t+1}^{A} = \{\langle x_{1}, \dots, x_{2t+1} \rangle : \langle x_{1}, \dots, x_{2t} \rangle \in BL_{2t}^{A} \text{ or } x_{2t+1} \in A\}$$

$$coBL_{t}^{A} = \{\langle x_{1}, \dots, x_{t} \rangle : \langle x_{1}, \dots, x_{t} \rangle \notin BL_{t}^{A}\}$$

$$BL_{\omega}^{A} = \bigcup_{t \geq 1}^{\infty} BL_{t}^{A}$$

$$coBL_{\omega}^{A} = \bigcup_{t \geq 1}^{\infty} coBL_{t}^{A}$$

In this paper, we will work with either ODD_t^A or BL_t^A , whichever one is more convenient for the situation at hand. We ask the reader to confirm the following relationships between ODD_t^A and BL_t^A . Consider a sequence $\langle x_1,\ldots,x_t\rangle$. Let z be the largest index such that $x_z\in A$. Then, the sequence $\langle x_1,\ldots,x_t\rangle\in\mathrm{BL}_t^A$ if and only if z is odd. Also, for a nested sequence $\langle x_1,\ldots,x_t\rangle$, where $x_{i+1}\in A\Longrightarrow x_i\in A$, we have that $\langle x_1,\ldots,x_t\rangle\in\mathrm{ODD}_t^A$ if and only if $\langle x_1,\ldots,x_t\rangle\in\mathrm{BL}_t^A$. Thus, if $\leq_{\mathrm{m}}^{\mathrm{p}}(A)$ is closed under disjunctive reductions, ODD_t^A and BL_t^A are $\leq_{\mathrm{m}}^{\mathrm{p}}$ -equivalent. Since the languages H and E are $\leq_{\mathrm{m}}^{\mathrm{p}}$ -complete for Σ_k^{p} and Σ_j^{p} , which are closed under disjunctive reductions, $\mathrm{ODD}_t^H\equiv_{\mathrm{m}}^{\mathrm{p}}\mathrm{BL}_t^H$ and $\mathrm{ODD}_t^E\equiv_{\mathrm{m}}^{\mathrm{p}}\mathrm{BL}_t^E$.

If A is a complete language for some level of PH, then $\mathrm{BL}_t^A \leq_{\mathrm{m}}^{\mathrm{P}} \mathrm{coBL}_t^A$ implies that PH collapses using the hard/easy argument [Kad88]. In contrast, $\mathrm{BL}_{\omega}^A \leq_{\mathrm{m}}^{\mathrm{P}} \mathrm{coBL}_{\omega}^A$ without any assumptions or consequences. To see this, let α be fixed such that $\alpha \notin A$. Then,

$$\langle x_1, \dots, x_t \rangle \in \mathrm{BL}_{\omega}^A \iff \langle \alpha, x_1, \dots, x_t \rangle \in \mathrm{coBL}_{\omega}^A.$$

Thus, the only reductions of consequence between BL_{ω}^A and coBL_{ω}^A are dimension-preserving reductions — functions whose input and output are tuples with the same number of components.

3 Language classes

In this section we consider classes of languages recognized by polynomial-time Turing machines which have access to a Σ_k^P oracle and a Σ_j^P oracle. The results in this section show that for language classes, the order of the queries does not matter — in fact, the queries can be made in parallel. In Theorem 10, we show that when the easier questions are asked first, then the queries can be made in parallel. This relationship even holds for function classes. This is the simple direction of our results; the difficult direction handles the case where the harder questions are asked first.

Theorem 10 For k > j, let H and E be $\leq_{\mathrm{m}}^{\mathrm{P}}$ -complete for Σ_k^{P} and Σ_j^{P} respectively. Then, for all polynomial bounded r(n) and s(n),

$$\mathsf{PF}^{E_{S}(n)\text{-tt};H_{r}(n)\text{-tt}} \subseteq \mathsf{PF}^{H_{r}(n)\text{-tt}} \|^{E_{S}(n)\text{-tt}} \subseteq \mathsf{PF}^{H_{r}(n)\text{-tt};E_{S}(n)\text{-tt}}.$$

Proof: The second containment is obvious. To prove the first containment, we modify the techniques used by Hemaspaandra et al. [HHH96]. Let M be a polynomial-time bounded Turing machine that asks s(n) parallel queries to the oracle E followed by r(n) parallel queries to the oracle H. Let $e_1, \ldots, e_{s(n)}$ be the queries that M asks the oracle E on a particular input x. Note that the queries $e_1, \ldots, e_{s(n)}$ can be generated in polynomial time. Since k > j, a Σ_k^P machine can generate the set of queries $e_1, \ldots, e_{s(n)}$, determine the answers to these queries and then generate the second set of queries $h_1, \ldots, h_{r(n)}$ that M(x) would ask to the oracle H. Thus, M' does not have to query E before asking H about the answers to $h_1, \ldots, h_{r(n)}$. The machine M' can simply ask the oracle H the following question h'_i :

"Let h_i be the *i*th query that M(x) asks H. Is $h_i \in H$?"

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The oracle H can answer such queries because H is complete for Σ_k^{P} . Clearly, $h_i' \in H$ if and only if $h_i \in H$. In parallel with the queries to H, M'(x) also asks the oracle E for answers to $e_1, \ldots, e_{s(n)}$, the same questions that M(x) asked originally. Thus, M'(x) has answers to all of the oracle queries that M(x) asked and M'(x) can complete the simulation of M(x) step by step.

Note that we do not really need H to be complete for Σ_k^{P} . The conditions that $E \leq_{\mathrm{m}}^{\mathrm{P}} H$, $\overline{E} \leq_{\mathrm{m}}^{\mathrm{P}} H$ and $\leq_{\mathrm{m}}^{\mathrm{NP}}(H) = \leq_{\mathrm{conj}}^{\mathrm{P}}(H) = \leq_{\mathrm{m}}^{\mathrm{P}}(H)$ are sufficient to prove Theorem 10. For constant r(n) and s(n), we only need the conditions

$$E \leq_{\mathrm{m}}^{\mathrm{P}} H, \ \overline{E} \leq_{\mathrm{m}}^{\mathrm{P}} H, \ H \times H \leq_{\mathrm{m}}^{\mathrm{P}} H \ \mathrm{and} \ \overline{H} \times \overline{H} \leq_{\mathrm{m}}^{\mathrm{P}} \overline{H}.$$

Also, by restricting Theorem 10 to characteristic functions, we obtain the following corollary for language classes.

Corollary 11 For k > j, let H and E be $\leq_{\mathrm{m}}^{\mathrm{P}}$ -complete for Σ_k^{P} and Σ_j^{P} respectively. Then, for all polynomial bounded r(n) and s(n),

$$\mathbf{p}^{E_{s(n)}\text{-}\mathrm{tt};H_{r(n)}\text{-}\mathrm{tt}}\subset\mathbf{p}^{H_{r(n)}\text{-}\mathrm{tt}}\parallel^{E_{s(n)}\text{-}\mathrm{tt}}\subset\mathbf{p}^{H_{r(n)}\text{-}\mathrm{tt};E_{s(n)}\text{-}\mathrm{tt}}$$

Theorem 10 and Corollary 11 show that we can always postpone the easy questions (the queries to E). In the next theorem, we show somewhat surprisingly that, when recognizing languages, we can also postpone the hard questions. In fact, in either case, all the questions can be asked in parallel, as we show in Theorem 13. First, we prove a technical lemma using the mind-change technique. For those familiar with this technique, the basic structure of this proof is the same as the proof which shows that every language in $P^{H_{r-tt}}$ can be \leq_{1-tt}^{P} -reduced to ODD_{r}^{H} [Bei91], except in this case the polynomial-time machine is also allowed to make parallel queries to E.

We illustrate the mind-change technique with a simple example that every $P^{A_3\text{-tt}}$ language $\leq_{1\text{-tt}}^P$ -reduces to ODD_3^A where A is an NP-complete language. Figure 1 is a truth table for the accepting and rejecting behavior of a polynomial-time machine M that asks 3 parallel queries to A. If a 1 appears in column x_i in a row of the truth table, then we say that x_i is a positive query in that row. In Figure 1, the positive queries in Row 6 are x_1 and x_2 . For a mind-change proof, we will only consider the rows of the truth-table that are consistent with A in the sense that the positive queries in that row are strings in A. In Figure 1, the consistent rows are Rows 0, 1, 4 and 5. Two

	x_1	x_2	x_3	$M^A(w)$
0*	0	0	0	accept
1*	0	0	1	reject
2	0	1	0	accept
3	0	1	1	accept
4* 5*	1	0	0	accept
5*	1	0	1	accept
6	1	1	0	reject
7	1	1	1	accept

Figure 1: Truth table for a machine M on input w asking 3 parallel queries, x_1, x_2 , and x_3 , to an oracle A. In this example, $x_1 \in A$, $x_2 \notin A$, and $x_3 \in A$. The asterisked rows in the truth table are consistent with A and are possible participants in a sequence of mind changes.

consistent rows form a mind change if one row accepts, the other rejects and the positive queries of one row is a subset of the positive queries in the other row. In our example, Rows 1 and 5 form a mind change, but Rows 1 and 4 do not. Next we consider sequences of rows where the each pair of successive rows forms a mind change. In particular, we are interested in such sequences that make the most number of mind changes. The first row of such a sequence must have the same accept/reject behavior as Row 0. The last row must have the same accept/reject behavior as the row which has the correct answers. If this were not the case, then adding Row 0 or the row with the correct answers to the sequence would increase the number of mind changes. In Figure 1, there are two sequences that make 2 mind changes: (0,1,4) and (0,1,5). Let b be a bit that is 0 if and only if the machine M accepts in Row 0. Since the accept/reject behavior of the machine in Row 0 can be computed in polynomial time without using any queries to A, the bit b is polynomial time computable. Furthermore, whether the maximum number of mind changes is even or odd tells us whether the machine accepted or rejected in the row with the correct answer. In our example, the maximum number of mind changes is 2 and is even. Thus, the machine must accept because it accepted in Row 0. Finally, since A is NP-complete, we can compute in polynomial time three strings y_1, y_2, y_3 such that M(w) makes at least i mind changes if and only if $y_i \in A$. Then,

- 72 M(w) accepts $\iff b \oplus \mathrm{ODD}_3^A(y_1, y_2, y_3) = 1.$
- 73 Therefore, L(M) is $\leq_{1-\text{tt}}^{\text{P}}$ -reducible to ODD_{3}^{A} .

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- Lemma 12 For each $L \in P^{H_r(n)\text{-tt};E_{s(n)\text{-tt}}}$ there exists a polynomial-time computable function h such that for all w, |w| = n, $h(w) = \langle b, \vec{y}, \vec{x} \rangle$ where $b \in \{0, 1\}$, $\vec{x} = \langle x_1, \dots, x_{r(n)} \rangle$, $\vec{y} = \langle y_1, \dots, y_{s(n)} \rangle$ and
- 78 $w \in L \iff b \oplus \mathrm{ODD}_{\omega}^{E}(\vec{y}) \oplus \mathrm{ODD}_{\omega}^{H}(\vec{x}) = 1.$
- Furthermore, \vec{x} and \vec{y} are nested sequences.
- **Proof:** We will use two mind-change proofs one for the queries to H and one for the queries to E. We start with the mind-change proof for H.
- Let L belong to $P^{H_r(n)\text{-tt};E_s(n)\text{-tt}}$ via a polynomial-time Turing machine M. Let Q_H be the set of queries to H made by M on a particular input w, and let $Z_H = Q_H \cap H$. Given the input w and a set $Z \subseteq Q_H$, let the value of $f_H(Z,w)$ be 1 if M(w) accepts when the queries to H were answered

according to Z. Otherwise, $f_H(Z, w) = 0$. In terms of the simple example above, each set Z is the set of positive queries for a row in the truth table and the set Z_H is the set of positive queries in the row with the correct answers. Note that $f_H(Z, w)$ can be computed in polynomial time using s(n) parallel queries to E. Let $g_H(m, w)$ be true if and only if M can make m mind changes on input w with respect to the queries to H—i.e., $g_H(m, w)$ is true when

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$$(\exists Z_0, \dots, Z_m)[Z_0 = \emptyset \land (\forall 1 \le i \le m)[(Z_{i-1} \subsetneq Z_i \subseteq Z_H) \land (f_H(Z_{i-1}, w) \ne f_H(Z_i, w))]].$$

Since a Σ_k^P machine has an oracle that can answer E queries, a Σ_k^P machine can determine whether $g_H(m,w)$ is true by guessing an increasing sequence Z_0,\ldots,Z_m , checking that $Z_i\subseteq Z$, and confirming that $f_H(Z_{i-1},w)\neq f_H(Z_i,w)$ for $1\leq i\leq m$. Note that g(0,w) is trivially true and that the maximum number of mind changes is bounded by r(n) since $|Z_H|\leq r(n)$. Since H is Σ_k^P -complete, we can construct $\vec{x}=\langle x_1,\ldots,x_{r(n)}\rangle$ such that

$$x_i \in H \iff g_H(i, w)$$
 is true.

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If g(i+1,w) is true, then g(i,w) must also be true. Thus, $x_{i+1} \in H \Longrightarrow x_i \in H$. Therefore, the sequence \vec{x} is a nested sequence. Now consider the maximum number of mind changes,

$$m_H = \max\{ m : g_H(m, w) \land (0 \le m \le r(n)) \}$$

and a sequence of sets $Z_0 = \emptyset \subsetneq Z_1 \subsetneq \cdots \subsetneq Z_{m_H} \subseteq Z$ which achieves the maximum number of mind changes. It must be the case that $f(Z_{m_H}, w) = f(Z_H, w)$. Otherwise, adding Z_H to the end of the sequence would result in $m_H + 1$ mind changes. Furthermore, $f(Z_{m_H}, w) = f(Z_0, w)$ if and only if m_H is even. Since $M(w) = f_H(Z_H, w)$ and $Z_0 = \emptyset$, it follows that $M(w) = f_H(\emptyset, w)$ if and only if m_H is even. Therefore,

$$M(w) \text{ accepts } \iff f_H(\emptyset, w) \oplus \text{ODD}_{\omega}^H(\vec{x}) = 1.$$
 (1)

Next, we use another mind-change proof to reduce $f_H(\emptyset, w)$ to $b \oplus \mathrm{ODD}_{\omega}^E(\vec{y})$ where b is a polynomial-time computable bit. Let M' be a polynomial-time Turing machine which computes $f_H(\emptyset, w)$ using s(n) parallel queries to E. We will also use the mind-change technique to determine whether M'(w) accepts. Let Q_E be the set of queries asked by M' on input w. Let $Z_E = Q_E \cap E$. We define $f_E(Z, w)$ and $g_E(m, w)$ analogously. That is, for $Z \subseteq Q_E$, $f_E(Z, w) = 1$ if M'(w) accepts when the queries to E are answered according to E and E and E are when

$$(\exists Z_0, \dots, Z_m)[Z_0 = \emptyset \land (\forall 1 < i < m)[(Z_{i-1} \subseteq Z_i \subseteq Z_E) \land (f_E(Z_{i-1}, w) \neq f_E(Z_i, w))]].$$

Using the same reasoning as above, the maximum number of mind changes,

$$m_E = \max\{ m : g_E(m, w) \land (0 \le m \le s(n)) \},\$$

is even if and only if $M'(w) = f_E(\emptyset, w)$. Since E is Σ_j^P -complete, we can construct a nested sequence $\vec{y} = \langle y_1, \dots, y_{s(n)} \rangle$ such that $y_i \in E \iff g_E(i, w)$ is true. Furthermore, note that $f_E(\emptyset, w)$ is polynomial-time computable since we know that all the oracle queries of M'(w) are answered NO. So, let the bit $b = f_E(\emptyset, w)$. Then, we have

$$f_H(\emptyset, w) = b \oplus \text{ODD}_{\omega}^E(\vec{y}).$$
 (2)

95 Combining (1) and (2) produces the desired result.

Given the values $\langle b, \vec{y}, \vec{x} \rangle$ output by the function h of Lemma 12, a $\mathbf{P}^{H_r(n)\text{-tt}\parallel E_s(n)\text{-tt}}$ machine can compute $\mathrm{ODD}_{\omega}^H(\vec{x})$ and $\mathrm{ODD}_{\omega}^E(\vec{y})$ by asking the r(n) queries to H and s(n) queries to E in parallel directly. Thus, $\mathbf{P}^{H_r(n)\text{-tt};E_s(n)\text{-tt}} \subseteq \mathbf{P}^{H_r(n)\text{-tt}\parallel E_s(n)\text{-tt}}$. Since $\mathbf{P}^{H_r(n)\text{-tt}\parallel E_s(n)\text{-tt}}$ is trivially contained in $\mathbf{P}^{E_s(n)\text{-tt};H_r(n)\text{-tt}}$ and in $\mathbf{P}^{H_r(n)\text{-tt};E_s(n)\text{-tt}}$, the next theorem follows.

- Theorem 13 For k > j, let H and E be $\leq_{\mathrm{m}}^{\mathrm{P}}$ -complete for Σ_k^{P} and Σ_j^{P} respectively. Then, for all polynomial-time computable and polynomial bounded r(n) and s(n),
- $P^{E_s(n)-tt;H_r(n)-tt} = P^{H_r(n)-tt|E_s(n)-tt} = P^{H_r(n)-tt;E_s(n)-tt}.$
- In the proof of Theorem 13, we could compute the value of $f_H(\emptyset, w)$ in Lemma 12 directly using s(n) parallel queries to E instead of resorting to mind changes. (We will need the stronger conditions of Lemma 12 later.) Hence, we have the following extensions:
- 100 **Theorem 14**
- 1. Let H and E be languages such that $E \leq_{\mathrm{m}}^{\mathrm{P}} H$, $\overline{E} \leq_{\mathrm{m}}^{\mathrm{P}} H$ and $\leq_{\mathrm{m}}^{\mathrm{NP}} (H) = \leq_{\mathrm{conj}}^{\mathrm{P}} (H) = \leq_{\mathrm{m}}^{\mathrm{P}} (H)$. Then, for all polynomial-time computable and polynomial bounded r(n) and s(n),
- 102 $\mathbf{P}^{E_s(n)\text{-tt};H_r(n)\text{-tt}} = \mathbf{P}^{H_r(n)\text{-tt}||E_s(n)\text{-tt}} = \mathbf{P}^{H_r(n)\text{-tt};E_s(n)\text{-tt}}.$
- 2. Let H and E be languages such that $E \leq_{\mathrm{m}}^{\mathrm{P}} H$, $\overline{E} \leq_{\mathrm{m}}^{\mathrm{P}} H$, $H \times H \leq_{\mathrm{m}}^{\mathrm{P}} H$ and $\overline{H} \times \overline{H} \leq_{\mathrm{m}}^{\mathrm{P}} \overline{H}$. Then, for all constants r > 0 and s > 0,
- 104 $P^{E_{s-tt};H_{r-tt}} = P^{H_{r-tt}||E_{s-tt}|} = P^{H_{r-tt};E_{s-tt}}.$
- Corollary 11 showed that for language classes, asking the easy questions first is equivalent to asking the hard questions first. In fact, this observation generalizes to several rounds of parallel queries to the oracles H and E. Again, there is an equivalent machine that asks all the queries to H before the queries to E. Thus, for example, for polynomially bounded a(n), b(n), c(n) and d(n)
- $\mathbf{P}^{Ha(n)\text{-}\mathsf{tt};E}b(n)\text{-}\mathsf{tt};H_{c(n)\text{-}\mathsf{tt};E}d(n)\text{-}\mathsf{tt} \subset \mathbf{P}^{Ha(n)\text{-}\mathsf{tt};H_{c(n)\text{-}\mathsf{tt};E}}b(n)\text{-}\mathsf{tt};E_{d(n)\text{-}\mathsf{tt}}.$
- In the case of languages, we can use a result of Beigel [Bei91, Theorem 4.9] to combine consecutive rounds of parallel queries to the same oracle into a single round. Thus,
- 108 $\mathbf{P}^{Ha(n)\text{-tt};H}c(n)\text{-tt};E_{b(n)\text{-tt};E_{d(n)\text{-tt}}} \subseteq \mathbf{P}^{Hr(n)\text{-tt};E_{s(n)\text{-tt}}},$
- where r(n) = (a(n) + 1)(c(n) + 1) 1 and s(n) = (b(n) + 1)(d(n) + 1) 1. Furthermore, by Theorem 13,
- 110 $\mathbf{P}^{H}r(n)\text{-tt}; E_{s}(n)\text{-tt} = \mathbf{P}^{E_{s}(n)\text{-tt}; H}r(n)\text{-tt} = \mathbf{P}^{H}r(n)\text{-tt}|_{E_{s}(n)\text{-tt}}.$
- In the next theorem, we show that $P^{H_r(n)-tt;E_s(n)-tt} \subseteq P^{H_a(n)-tt;E_b(n)-tt;E_c(n)-tt;E_d(n)-tt}$. Therefore, the following five classes are all equal:

$$\begin{split} \mathbf{P}^{Ha(n)\text{-}\mathrm{tt};E}b(n)\text{-}\mathrm{tt};&^{H}c(n)\text{-}\mathrm{tt};^{E}d(n)\text{-}\mathrm{tt} &= & \mathbf{P}^{Ha(n)\text{-}\mathrm{tt};H}c(n)\text{-}\mathrm{tt};^{E}b(n)\text{-}\mathrm{tt};^{E}d(n)\text{-}\mathrm{tt} \\ &= & \mathbf{P}^{H}r(n)\text{-}\mathrm{tt};^{E}s(n)\text{-}\mathrm{tt} &= & \mathbf{P}^{E}s(n)\text{-}\mathrm{tt};^{H}r(n)\text{-}\mathrm{tt} &= & \mathbf{P}^{H}r(n)\text{-}\mathrm{tt}^{\parallel E}s(n)\text{-}\mathrm{tt} \,. \end{split}$$

- Theorem 15 Let H and E be $\leq_{\mathrm{m}}^{\mathrm{P}}$ -complete for Σ_k^{P} and Σ_j^{P} respectively, where k>j. Furthermore, let r(n)=(a(n)+1)(c(n)+1)-1 and s(n)=(b(n)+1)(d(n)+1)-1 where $a(n),\,b(n),\,c(n)$ and d(n) are polynomial bounded. Then,
- 113 $\mathbf{P}^{H_r(n)\text{-tt};E_s(n)\text{-tt}} \subseteq \mathbf{P}^{H_a(n)\text{-tt};E_b(n)\text{-tt};H_c(n)\text{-tt};E_d(n)\text{-tt}}.$

- Proof: Let L be any language in $P^{H_r(n)\text{-tt};E_s(n)\text{-tt}}$ and let h be the function given by Lemma 12. For a fixed string w, |w| = n, let $h(w) = \langle b, \vec{y}, \vec{x} \rangle$. Using Lemma 12, it suffices to show that for $\vec{x} = \langle x_1, \dots, x_{r(n)} \rangle$ and $\vec{y} = \langle y_1, \dots, y_{s(n)} \rangle$, $ODD^H_{\omega}(\vec{x})$ and $ODD^E_{\omega}(\vec{y})$ can be computed by a $P^{H_{a(n)\text{-tt};E_{b(n)\text{-tt};E_{d(n)\text{-$
- $z(c(n)+1) \le \#_{\omega}^{H}(\vec{x}) < (z+1)(c(n)+1).$
- Thus, we have restricted $\#_{\omega}^{H}(\vec{x})$ to c(n)+1 values. In the second round of queries to H, we construct c(n) queries $p_1, \ldots, p_{c(n)}$ such that $p_i \in H$ if and only if $\#_{\omega}^{H}(\vec{x}) \geq z(c(n)+1)+i$. The answers to this second round of queries will determine the exact value of $\#_{\omega}^{H}(\vec{x})$ which is sufficient to determine $\text{ODD}_{\omega}^{H}(\vec{x})$. The value of $\text{ODD}_{\omega}^{E}(\vec{y})$ can be determined using an analogous procedure. Thus, $P^{H_r(n)\text{-tt};E_s(n)\text{-tt}} \subseteq P^{H_a(n)\text{-tt};E_b(n)\text{-tt};E_c(n)\text{-tt}}$.

4 Hierarchy Theorems for Language Classes

- In the previous section, we showed that the complexity of the language classes defined by machines with access to the oracles H and E is characterized by the number of queries and not by the order of the queries. In this section, we will show that the $P^{H_{r(n)}-tt}|_{E_{s(n)}-tt}$ classes form a nice linear hierarchy where additional queries to E are nested inside the additional queries to E:
- 118 $\mathbf{P}^{H_r(n)\text{-tt}} \subseteq \mathbf{P}^{H_r(n)\text{-tt}}||E_{1\text{-tt}} \subseteq \mathbf{P}^{H_r(n)\text{-tt}}||E_{2\text{-tt}} \subseteq \cdots \subseteq \mathbf{P}^{H_r(n)\text{+}1)\text{-tt}}.$
- Theorem 16 For k > j, let H and E be $\leq_{\mathrm{m}}^{\mathrm{P}}$ -complete for Σ_k^{P} and Σ_j^{P} respectively. Then, for all polynomial bounded r(n) and s(n), $\mathrm{P}^{H_r(n)\text{-tt}\parallel E_s(n)\text{-tt}} \subseteq \mathrm{P}^{H(r(n)+1)\text{-tt}}$.
- 120 **Proof:** Corollary 11 and Theorem 13 show that under this lemma's hypotheses:
- 121 $\mathbf{P}^{E_{s(n)-\mathrm{tt}};H_{r(n)-\mathrm{tt}}} = \mathbf{P}^{H_{r(n)-\mathrm{tt}};E_{s(n)-\mathrm{tt}}} = \mathbf{P}^{H_{r(n)-\mathrm{tt}}|E_{s(n)-\mathrm{tt}}}.$
- Let L be a language in $P^{H_{r(n)-tt};E_{s(n)-tt}}$. For a fixed input string w, let $h(w)=\langle b,\vec{y},\vec{x}\rangle$ be as described in Lemma 12 such that
- 123 $L(w) = b \oplus \mathrm{ODD}_{\omega}^{E}(\vec{y}) \oplus \mathrm{ODD}_{\omega}^{H}(\vec{x}).$
- Since \vec{x} has r(n) components, $\mathrm{ODD}^H_\omega(\vec{x})$ can be determined using r(n) parallel queries to H. Furthermore, since H is Σ^P_k complete and $E \in \Sigma^P_j$, $\mathrm{ODD}^E_\omega(\vec{y})$ can be determined using a single query to H. Thus, $\mathrm{P}^{H_r(n)\text{-tt}}|_{E_s(n)\text{-tt}} \subseteq \mathrm{P}^{H_r(n)+1)\text{-tt}}$.
- Finally, we prove in the following theorem that even when two oracles are used, each additional query adds additional computational power unless PH collapses. The proof of the theorem uses a hard/easy argument over the exclusive-or operator [BCO93]. We give this proof separately in Lemma 18.
- **Theorem 17** For k > j, let H and E be $\leq_{\mathrm{m}}^{\mathrm{P}}$ -complete for Σ_k^{P} and Σ_j^{P} respectively. Then, for all $0 < \epsilon < 1$ and for all r(n) and s(n) in $O(n^{\epsilon})$,
- 127 $P^{H_r(n)-tt}||E_{s(n)-tt}|| = P^{H_r(n)-tt}||E_{s(n)+1}||E_{s(n)+1}||E_{s(n)+1}|| = P^{H_r(n)-tt}||E_{s(n)+1}||E_{s(n)+1}|| = P^{H_r(n)-tt}||E_{s(n)+1}||E_{s(n)+1}|| = P^{H_r(n)-tt}||E_{s(n)+1}|| = P^{H_r(n)-t$

- Proof: First, we define a language L as follows. For a fixed length n, let r=r(n), s=s(n), s'=s(n)+1, m=n/(r+s'). Let the set $V=\{0,1\}^{m\times s'}$, the vectors with s' components where each component has length m, and let $U=\{0,1\}^{m\times r}$. Without loss of generality we assume that for each pair $(\vec{v},\vec{u}) \in V \times U$, the length of (\vec{v},\vec{u}) is exactly n. The strings of length n in L are pairs $(\vec{v},\vec{u}) \in V \times U$ such that $(\vec{v},\vec{u}) \in \mathrm{BL}^E_\omega \triangle \mathrm{BL}^H_\omega$.
- Since \vec{v} has s' = s(n) + 1 components and \vec{u} has r = r(n) components, the language L can be recognized by a $P^{H_{r-tt}||E_{s'-tt}}$ machine. By hypothesis, $P^{H_{r-tt}||E_{s'-tt}} \subseteq P^{H_{r-tt}||E_{s-tt}}$, so $L \in P^{H_{r-tt}||E_{s-tt}}$. Now, let h be the function specified in Lemma 12 such that for each $(\vec{v}, \vec{u}) \in V \times U$, $h(\vec{v}, \vec{u}) = \langle b, \vec{y}, \vec{x} \rangle$ and
- 130 $(\vec{v}, \vec{u}) \in \operatorname{BL}_{\omega}^{E} \triangle \operatorname{BL}_{\omega}^{H} \iff b \oplus \operatorname{ODD}_{\omega}^{E}(\vec{y}) \oplus \operatorname{ODD}_{\omega}^{H}(\vec{x}) = 1.$
- We construct a new polynomial-time computable function f from h as follows. On input (\vec{v}, \vec{u}) , f first computes $h(\vec{v}, \vec{u}) = \langle b, \vec{y}, \vec{x} \rangle$. Let y_{in} and y_{out} be two fixed strings such that $y_{in} \in E$ and $y_{out} \notin E$. If b = 0, then f outputs (\vec{y}', \vec{x}) where $\vec{y}' = \langle y_{in}, \vec{y} \rangle$. Otherwise, b = 1 and f outputs (\vec{y}', \vec{x}) where $\vec{y}' = \langle \vec{y}, y_{out} \rangle$. Then,
- 132 $(\vec{v}, \vec{u}) \in \mathrm{BL}_{\omega}^E \triangle \mathrm{BL}_{\omega}^H \iff \mathrm{EVEN}_{\omega}^E(\vec{y}') \oplus \mathrm{ODD}_{\omega}^H(\vec{x}) = 1.$
- Since \vec{x} and \vec{y}' are nested sequences, we have also established that
- 134 $(\vec{v}, \vec{u}) \in BL_{\omega}^{E} \triangle BL_{\omega}^{H} \iff f(\vec{v}, \vec{u}) = (\vec{y}', \vec{x}) \in coBL_{\omega}^{E} \triangle BL_{\omega}^{H}.$
- Thus, f is a dimension-preserving reduction from $\mathrm{BL}_{\omega}^E \triangle \mathrm{BL}_{\omega}^H$ to $\mathrm{coBL}_{\omega}^E \triangle \mathrm{BL}_{\omega}^H$ in the sense that the vectors output by f have the same number of components as the input vectors. This is enough for us to collapse PH using the hard/easy argument, as we show in the following lemma.
- Lemma 18 For k > j, let H and E be $\leq_{\mathrm{m}}^{\mathrm{P}}$ -complete for Σ_k^{P} and Σ_j^{P} respectively. Let f be a polynomial-time computable dimension-preserving function. Suppose that there exists polynomial-time computable polynomial-bounded functions $\tilde{r}(m)$ and $\tilde{s}(m)$ such that for all lengths m, for all $\vec{v} = \langle v_1, \ldots, v_{\tilde{s}(m)} \rangle \in \{0, 1\}^{m \times \tilde{s}(m)}$ and $\vec{u} = \langle u_1, \ldots, u_{\tilde{r}(m)} \rangle \in \{0, 1\}^{m \times \tilde{r}(m)}$,
- 137 $(\vec{v}, \vec{u}) \in \mathrm{BL}_{\omega}^E \triangle \mathrm{BL}_{\omega}^H \iff f(\vec{v}, \vec{u}) \in \mathrm{coBL}_{\omega}^E \triangle \mathrm{BL}_{\omega}^H.$
- 138 Then, $\overline{H} \in \Sigma_k^{\mathrm{P}}/\mathrm{poly}$ and $\mathrm{PH} \subseteq \Sigma_{k+2}^{\mathrm{P}}.$
- Proof: At the end of this proof, we will show that for each length m, we either have a Σ_k^P machine that recognizes $\overline{H}^{=m}$ or a Σ_j^P machine that recognizes $\overline{E}^{=m}$. The sizes and running times of these machines will be bounded by a single polynomial in m. Without loss of generality we assume that all strings in E and H with length less than m can be padded to length exactly m.
- In the second case, where we have a Σ_j^{P} machine for \overline{E} , we use a standard oracle replacement argument to show that $\Sigma_{k+1}^{\mathrm{P}} = (\Sigma_{k+1-j}^{\mathrm{P}})^E \subseteq \Sigma_k^{\mathrm{P}}$. Since $\overline{H} \in \Sigma_{k+1}^{\mathrm{P}}$, we also get a Σ_k^{P} machine for \overline{H} . However, this Σ_k^{P} machine would recognize \overline{H} for strings of shorter length, because the length of the oracle queries to E can be stretched by a polynomial factor. Nevertheless, we can choose $m \geq n$ to be long enough, but still bounded by a polynomial in n, so that in either case (whether we have a Σ_k^{P} machine for $\overline{H}^{=n}$ or a Σ_j^{P} machine for $\overline{E}^{=n}$) we have a Σ_k^{P} machine that recognizes $\overline{H}^{=n}$.
- For now, let us fix a length m. To simplify our notation, let $r = \tilde{r}(m)$ and $s = \tilde{s}(m)$. Let $V = \{0, 1\}^{m \times s}$ and $U = \{0, 1\}^{m \times r}$. That is, the sets V and U consist of all the vectors with s

and r components respectively where each component has length m. For a pair $(\vec{v}, \vec{u}) \in V \times U$, if $n = |(\vec{v}, \vec{u})|$, then $s = \tilde{s}(m) = s(n)$ and $r = \tilde{r}(m) = r(n)$ for the functions s(n) and r(n) defined in Theorem 17.

Recall that f is a dimension-preserving function means that the outputs of f have the same dimensions as the inputs. Thus, for all $(\vec{v}, \vec{u}) \in V \times U$, $f(\vec{v}, \vec{u}) = (\vec{y}, \vec{x})$ where \vec{y} and \vec{x} have s and r components respectively such that

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$$(\vec{v}, \vec{u}) \in \mathrm{BL}_{\omega}^E \triangle \mathrm{BL}_{\omega}^H \iff (\vec{y}, \vec{x}) \in \mathrm{coBL}_{\omega}^E \triangle \mathrm{BL}_{\omega}^H.$$

We now give the formal definition of a hard sequence, which is central to the hard/easy argument. In this definition, for a sequence $\vec{z} = \langle z_1, \dots, z_t \rangle$, we use \vec{z}^R to denote the reversal of the sequence $\langle z_t, \dots, z_1 \rangle$. We say that \vec{z} is a hard sequence for length m if \vec{z} is the empty sequence or if all of the following conditions hold.

Hard Sequence

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- 1. $1 \le t \le r + s 1$.
- 147 2. $\langle z_1, \ldots, z_{t-1} \rangle$ is a hard sequence for length m.
- 148 3. For $1 \le i \le t$, $|z_i| = m$.
- 4. For $1 \le i \le \min(r, t), z_i \in \overline{H}$.
- 5. If t > r, then for $r + 1 \le i \le t$, $z_i \in \overline{E}$.
- 6. If $t \leq r$, let $\ell = r t$. For all $\vec{v} \in V$ and for all $\langle u_1, \dots, u_\ell \rangle \in \{0, 1\}^{m \times \ell}$, let

$$f(\vec{v}, \langle u_1, \dots, u_\ell, \vec{z}^{R} \rangle) = (\vec{y}, \langle x_1, \dots, x_r \rangle).$$

- 153 Then, $(\vec{v}, \vec{y}) \in \operatorname{BL}_{\omega}^{E} \triangle \operatorname{coBL}_{\omega}^{E} \Longrightarrow x_{\ell+1} \in \overline{H}$.
- 7. If t > r, let $\ell = r + s t$. For all $(v_1, \dots, v_\ell) \in \{0, 1\}^{m \times \ell}$, let

$$f(\langle v_1, \dots, v_\ell, z_t, \dots, z_{r+1} \rangle, \langle z_r, \dots, z_1 \rangle) = (\langle y_1, \dots, y_s \rangle, \vec{x}).$$

Then, $y_{\ell+1} \in \overline{E}$.

Given a hard sequence $\vec{z} = \langle z_1, \dots, z_t \rangle$, we refer to t as the *order* of the hard sequence. Furthermore, we say that a hard sequence \vec{z} is a *maximal* hard sequence if for all $w \in \{0, 1\}^m$, $\langle z_1, \dots, z_t, w \rangle$ is not a hard sequence. Since the empty sequence is a hard sequence by definition, a maximal hard sequence exists for every length m. Also, any tuple with more than r + s - 1 components cannot be a hard sequence. Thus, every hard sequence with order r + s - 1 is a maximal hard sequence.

We now argue that a maximal hard sequence will allow us to either recognize $\overline{H}^{=m}$ with a Σ_k^P machine or $\overline{E}^{=m}$ with a Σ_j^P machine (depending on the order of the maximal hard sequence). Suppose that $\vec{z} = \langle z_1, \dots, z_t \rangle$ is a maximal hard sequence where t < r. We claim that the following is a Σ_k^P procedure for $\overline{H}^{=m}$.

Procedure EasyH

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- 1. Input: $w \in \{0, 1\}^m$.
- 2. Nondeterministically guess $\vec{v} \in V$ and $\langle u_1, \dots, u_{\ell-1} \rangle \in \{0, 1\}^{m \times (\ell-1)}$, where $\ell = r t$.
- 3. Compute $f(\vec{v}, \langle u_1, \dots, u_{\ell-1}, w, z_t, \dots, z_1 \rangle) = (\vec{y}, \langle x_1, \dots, x_r \rangle)$.
- 4. If $(\vec{v}, \vec{y}) \notin BL^E_{\omega} \triangle coBL^E_{\omega}$, reject
- 5. Accept if $x_{\ell} \in H$.
- This procedure is computable by a Σ_k^P machine because a Σ_k^P machine can recognize BL_ω^E deterministically using parallel queries to a Σ_{k-1}^P oracle. Suppose that $w \in \overline{H}$ and Procedure EasyH does not accept. Then, $\langle z_1, \ldots, z_t, w \rangle$ would satisfy the definition of a hard sequence, which violates the maximality of \vec{z} . It remains to show that if Procedure EasyH accepts, then w is really in \overline{H} . First, since \vec{z} is a hard sequence, each $z_i \in \overline{H}$ and each $x_i \in \overline{H}$ for $\ell + 1 \le i \le r$. Since the procedure accepted, $x_\ell \in H$ and $(\vec{v}, \vec{y}) \in \mathrm{BL}_\omega^E \triangle \mathrm{coBL}_\omega^E$. Suppose that ℓ is odd. Then $\vec{x} \in \mathrm{BL}_\omega^H$ since ℓ is the largest index of the $x_i \in H$. Furthermore, since f is a reduction from $\mathrm{BL}_\omega^E \triangle \mathrm{BL}_\omega^H$ to $\mathrm{coBL}_\omega^E \triangle \mathrm{BL}_\omega^H$, we have

$$(\vec{v}, \langle u_1, \dots, u_{\ell-1}, w, z_t, \dots, z_1 \rangle) \in \operatorname{BL}_{\omega}^E \triangle \operatorname{BL}_{\omega}^H \iff (\vec{y}, \vec{x}) \in \operatorname{coBL}_{\omega}^E \triangle \operatorname{BL}_{\omega}^H.$$

- Therefore, $\langle u_1, \dots, u_{\ell-1}, w, z_t, \dots, z_1 \rangle \notin \operatorname{BL}_{\omega}^H$. Thus, $w \in \overline{H}$ (otherwise the largest index of the components in H would be odd). The reasoning for even ℓ is analogous.
- Next, suppose that $\vec{z} = \langle z_1, \dots, z_t \rangle$ is a maximal hard sequence and $t \geq r$. Then we claim that the following is a Σ_j^P procedure for $\overline{E}^{=m}$.

Procedure EasyE

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- 170 1. Input: $w \in \{0, 1\}^m$.
- 2. Nondeterministically guess $\langle v_1, \dots, v_{\ell-1} \rangle \in \{0, 1\}^{m \times (\ell-1)}$, where $\ell = r + s t$.
- 3. Compute $f(\langle v_1, \dots, v_{\ell-1}, w, z_t, \dots, z_{r+1} \rangle, \langle z_r, \dots, z_1 \rangle) = (\langle y_1, \dots, y_s \rangle, \vec{x}).$
- 173 4. Accept if $y_{\ell} \in E$.
- This procedure is clearly computable by a Σ_j^{P} machine. As before, if $w \in \overline{E}$ and the procedure rejects, then $\langle z_1, \ldots, z_t, w \rangle$ would constitute a hard sequence and violate the maximality of \vec{z} . Since \vec{z} is a hard sequence, $\vec{z}' = \langle z_1, \ldots, z_r \rangle$ is also a hard sequence. By the definition of a hard sequence, for all $\vec{v} \in V$, if $f(\vec{v}, \vec{z}'^{\mathrm{R}}) = (\langle y_1, \ldots, y_s \rangle, \langle x_1, \ldots, x_r \rangle)$, then for all $i, 1 \leq i \leq r$,

$$(\vec{v}, \vec{y}) \in \mathrm{BL}_{\omega}^E \triangle \mathrm{coBL}_{\omega}^E \Longrightarrow x_i \in \overline{H}.$$

- Now, suppose (\vec{v}, \vec{y}) is indeed in $\mathrm{BL}_{\omega}^E \triangle \mathrm{coBL}_{\omega}^E$. Then, $\vec{x} = \langle x_1, \dots, x_r \rangle \not\in \mathrm{BL}_{\omega}^H$. Since $z_i \in \overline{H}$ for $1 \leq i \leq r$, it is also the case that $\vec{z}'^R \not\in \mathrm{BL}_{\omega}^H$. However, $(\vec{v}, \vec{y}) \in \mathrm{BL}_{\omega}^E \triangle \mathrm{coBL}_{\omega}^E$, $\vec{x} \not\in \mathrm{BL}_{\omega}^H$ and $\vec{z}'^R \not\in \mathrm{BL}_{\omega}^H$ implies that either
- 177 $(\vec{v}, \vec{z}') \in BL_{\omega}^{E} \triangle BL_{\omega}^{H} \text{ and } (\vec{y}, \vec{x}) \notin coBL_{\omega}^{E} \triangle BL_{\omega}^{H}$
- 178 or
- 179 $(\vec{v}, \vec{z}') \notin \mathrm{BL}_{\omega}^E \triangle \mathrm{BL}_{\omega}^H \text{ and } (\vec{y}, \vec{x}) \in \mathrm{coBL}_{\omega}^E \triangle \mathrm{BL}_{\omega}^H.$

This contradicts the properties of f in the statement of this lemma. Thus, it must be the case that $(\vec{v}, \vec{y}) \notin BL^E_\omega \triangle coBL^E_\omega$, or in other words,

$$\vec{v} \in \mathrm{BL}_{\omega}^E \iff \vec{y} \in \mathrm{coBL}_{\omega}^E.$$

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In particular, this is true when $\vec{v} = \langle v_1, \dots, v_{\ell-1}, w, z_t, \dots, z_{r+1} \rangle$.

Suppose that Procedure EasyE accepts the input string w. Then $y_{\ell} \in E$. Since \vec{z} is a hard sequence, we also know that $y_i \in \overline{E}$ for $\ell + 1 \le i \le s$. If ℓ is odd, then y_{ℓ} implies that $\vec{y} \notin \operatorname{coBL}_{\omega}^E$. Thus, $\langle v_1, \ldots, v_{\ell-1}, w, z_t, \ldots, z_{r+1} \rangle \notin \operatorname{BL}_{\omega}^E$. Again, since \vec{z} is a hard sequence, $z_i \notin E$ for $t \ge i \ge r+1$. Therefore, $w \notin E$. (Otherwise, $\langle v_1, \ldots, v_{\ell-1}, w, z_t, \ldots, z_{r+1} \rangle$ would be in $\operatorname{BL}_{\omega}^E$, because the index of the largest component in E would be odd.) The argument for ℓ even is analogous.

Finally, we point out that we have for each length m either a Σ_k^P machine that recognizes strings in $\overline{H}^{=m}$ or a Σ_j^P machine that recognizes strings in $\overline{E}^{=m}$. A polynomial length advice function can store a maximal hard sequence for each length m which is needed by the two machines. As argued at the beginning of this proof, if m is long enough, then we have $\overline{H} \in \Sigma_k^P$ /poly. Therefore, the Polynomial Hierarchy collapses to Σ_{k+2}^P by Yap's Theorem [Yap83].

Corollary 19 For k > j, let H and E be $\leq_{\mathrm{m}}^{\mathrm{P}}$ -complete for Σ_k^{P} and Σ_j^{P} respectively. Assuming that PH does not collapse, for all $0 < \epsilon < 1$ and for all r(n) and s(n) in $O(n^{\epsilon})$, we have the strict containments:

$$\mathbf{P}^{H}r(n)\text{-tt}^{\parallel E}s(n)\text{-tt} \subseteq \mathbf{P}^{H}r(n)\text{-tt}^{\parallel E}(s(n)+1)\text{-tt} \subseteq \mathbf{P}^{H}(r(n)+1)\text{-tt}.$$

5 Function classes

In this section we show that for *functions* computed by polynomial-time Turing machines with access to two oracles, the order of the queries is critical. Theorem 10 showed that if the easy questions are asked first, then there is an equivalent machine that asks the hard questions and the easy questions in parallel. The main theorem in this section states that the converse does not hold unless the Polynomial Hierarchy collapses.

Theorem 20 For $k>j\geq 1$, let H and E be $\leq^{\mathrm{P}}_{\mathrm{m}}$ -complete for Σ^{P}_k and Σ^{P}_j respectively. Then, for all polynomial-time computable functions r(n) and s(n), where $r(n)\leq \epsilon \log n$ for some $\epsilon<1$ and $s(n)\in O(\log n)$, $\mathrm{PF}^{H_r(n)\text{-tt};E_{s(n)\text{-tt}}}\not\subseteq \mathrm{PF}^{E_{s(n)\text{-tt};H_r(n)\text{-tt}}}$ unless $\mathrm{PH}\subseteq \Sigma^{\mathrm{P}}_{j+1}$.

Theorem 20 follows immediately from Lemma 22 which we prove below. To motivate the proof of this lemma, we first prove a restricted version of Theorem 20 where H is Σ_2^P complete, E is NP complete and just one query is asked to each oracle.

190 **Theorem 21** Let H and E be $\leq_{\mathrm{m}}^{\mathrm{P}}$ -complete for Σ_2^{P} and NP respectively. Then

$$PF^{H_{1-tt};E_{1-tt}} \subseteq PF^{E_{1-tt};H_{1-tt}} \Longrightarrow PH \subseteq \Sigma_2^P.$$

192 **Proof:** Consider the function:

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$$\operatorname{FIRSTH}(x, y, z) = \begin{cases} H(x)E(y) & \text{if } x \notin H \\ H(x)E(z) & \text{if } x \in H \end{cases}$$

Recall that $H(\cdot)$ and $E(\cdot)$ denote the characteristic functions of H and E and that H(x)E(y) represents the concatenation of H(x) and E(y). The function FIRSTH(x, y, z) is easily computable

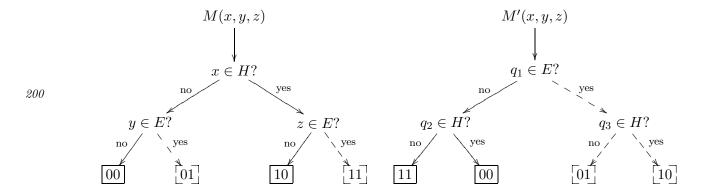


Figure 2: An example of the easy case. Using an E oracle we determine that $y \notin E, z \notin E$ and $q_1 \notin E$. Thus, $\mathrm{OUT}^E(M(x,y,z)) = \{00,10\}$ and $\mathrm{OUT}^E(M'(x,y,z)) = \{11,00\}$. We then conclude that $\mathrm{FIRSTH}(x,y,z)$ must be 00 and $x \notin H$.

by a $PF^{H_{1-tt};E_{1-tt}}$ machine M that asks whether $x \in H$ followed by the appropriate query to E. However, there is no obvious way to compute FIRSTH in $PF^{E_{1-tt};H_{1-tt}}$ since it is not clear which of $y \in E$ and $z \in E$ to ask.

If $PF^{H_{1-tt};E_{1-tt}} \subseteq PF^{E_{1-tt};H_{1-tt}}$, then $FIRSTH \in PF^{E_{1-tt};H_{1-tt}}$ via some polynomial-time Turing machine M'. Consider the branches of the oracle query trees of M and M' on input (x,y,z) where the queries to E are answered correctly. Let $Out^E(M(x,y,z))$ and $Out^E(M'(x,y,z))$ denote the set of outputs of the machines on these paths. Since the queries to H may be correctly or incorrectly answered, the sets $Out^E(M(x,y,z))$ and $Out^E(M'(x,y,z))$ have at most two values each. From our construction of M, we know that

$$Out^{E}(M(x, y, z)) = \{ 0E(y), 1E(z) \}.$$

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Now, suppose that $\text{Out}^E(M'(x,y,z))$ is not equal to $\text{Out}^E(M(x,y,z))$ (see Figure 2). Since both M and M' compute FirstH, the correct value of FirstH(x,y,z) must appear in both sets. Thus,

OUT^E
$$(M'(x, y, z)) \neq$$
 OUT^E $(M(x, y, z))$
 \Longrightarrow OUT^E $(M'(x, y, z)) \cap$ OUT^E $(M(x, y, z)) = {FIRSTH(x, y, z)}.$

By definition, the first bit of FIRSTH(x,y,z) is H(x). So, if there exists (y,z) such that the sets $\mathrm{OUT}^E(M'(x,y,z))$ and $\mathrm{OUT}^E(M(x,y,z))$ differ, we can determine whether $x\in H$ or $x\in \overline{H}$ by guessing (y,z) and answering queries to E. When such a (y,z) pair exists, we call x an easy string. Otherwise, no such (y,z) pair exists and we call x a hard string (see Figure 3). For each length n, we will consider two cases. Either all of the strings of length n are easy or there exists a hard string for length n. Combining the two cases will allow us to collapse PH. The simplest way to combine the two cases is to use an advice function to provide a hard string, if one exists. This will collapse PH to the Σ_2^{P} level. A more sophisticated approach will bring PH down to the Σ_2^{P} level.

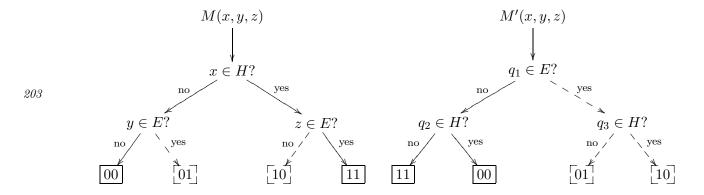


Figure 3: An example of the hard case. When x is a hard string, for all choices of y and z, $\mathrm{OUT}^E(M(x,y,z)) = \mathrm{OUT}^E(M'(x,y,z))$. In this example, for this choice of y and z, we used an E oracle to determine that $y \notin E$, $z \in E$ and $q_1 \notin E$. Thus, $\mathrm{OUT}^E(M(x,y,z)) = \mathrm{OUT}^E(M'(x,y,z)) = \{00,11\}$.

Formally, the two cases are (for polynomial bounded $\ell(n)$ specified later):

Case 1: (All strings of length n are easy.)

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$$(\forall x, |x| = n)(\exists y, z, |y| = |z| = \ell(n))[\text{Out}^E(M(x, y, z)) \neq \text{Out}^E(M'(x, y, z))].$$

209 Case 2: (There exists a hard string for length n.)

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$$(\exists x, |x| = n)(\forall y, z, |y| = |z| = \ell(n))[\text{Out}^E(M(x, y, z)) = \text{Out}^E(M'(x, y, z))].$$

For each n, we can provide an NP^E machine with 1 bit of advice stating whether Case 1 or Case 2 holds for strings of length n. If Case 1 holds, then the NP^E machine can determine whether $x \in \overline{H}$ by guessing (y, z) and checking whether $Out^E(M(x, y, z)) \neq Out^E(M'(x, y, z))$. When the "correct" (y, z) is guessed, the set,

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$$\operatorname{Out}^E(M(x,y,z)) \cap \operatorname{Out}^E(M'(x,y,z))$$

contains one string ab where a=H(x). Thus, when all strings are easy, there exist NP^E machines which can recognize $H^{=n}$ and $\overline{H}^{=n}$.

If there exists a hard string for length n, then the advice function also provides a hard string x. Note that $\mathrm{Out}^E(M'(x,y,z))$ can be computed using only 1 query to E. However, in Case 2 we have

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$$OUT^{E}(M'(x,y,z)) = OUT^{E}(M(x,y,z)) = \{0E(y), 1E(z)\}.$$

Thus, a P machine with the advice and 1 query to E can compute χ_2^E — that is, χ_2^E is 2-enumerable. Using standard tree pruning techniques [ABG90, BKS95, Ogi95, AA96], we can show that E can be solved in P with advice. (A detailed discussion of the tree pruning procedure follows this proof.)

So, for each length n, given polynomial advice, we either have an NP^E machine that recognizes $H^{=n}$ (Case 1) or a P machine that recognizes $E^{=n}$ (Case 2). Furthermore, the sizes and the running times of these machines are bounded by a single polynomial in n. Thus, as in Lemma 18, we can combine the two cases to get $\Pi_2^P \subseteq \Sigma_2^P$ /poly which collapses PH to Σ_4^P using Yap's theorem

[Yap83]. We can improve upon the collapse of PH in two ways. First, we can modify the definitions of Case 1 and 2 to get a P_{tt}^E machine for $H^{=n}$ in Case 1 and a P machine for $E^{=n}$ in Case 2. This method uses the techniques of Amir, Beigel and Gasarch [ABG90] to construct a better polynomial advice function. This improvement would collapse PH to Σ_3^P . The second method uses the latest refinements of the hard/easy argument [HHH97, BF96] to show that PH actually collapses to Σ_2^P . One key difference in the new approach is that we do not have to look for a hard string x; we simply guess whether the input string is a hard string. We sketch the proof of the second method next.

We construct an NP^{NP} machine N to recognize \overline{H} as follows. First, we rewrite H as

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$$H = \{ x : (\exists^{P} u)(\forall^{P} v)[R(x, u, v)] \}$$

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for some polynomial-time computable predicate R. On input x, the computation of N is divided into two "parallel" strategies. The first strategy presupposes that x is an easy string. In this first strategy, N guesses $(y,z) \in \{0,1\}^{\ell(n)} \times \{0,1\}^{\ell(n)}$ and checks whether $\mathrm{OUT}^E(M(x,y,z))$ differs from $\mathrm{OUT}^E(M'(x,y,z))$ using its NP oracle. Note that when the correct pair (y,z) is found, the machine N can prove that x is an easy string. Let ab be the single string in $\mathrm{OUT}^E(M(x,y,z)) \cap \mathrm{OUT}^E(M'(x,y,z))$. Then, $x \in \overline{H}$ if and only if a=0. If no such (y,z) pair exists, then all computation paths following the first strategy will reject.

The second strategy presupposes that the input string x is a hard string. In this case, N asks its NP oracle whether $(\forall^P u)(\exists^P v)[\neg R(x,u,v)]$. Normally, an NP oracle cannot answer this Π_2^P question. However, using x as a hard string, we can use Procedure Prune (Figure 4) to find the witness v such that $\neg R(x,u,v)$ is true (for any fixed u). Let, N' be an NP machine which guesses u and looks for the witness v deterministically using the tree pruning procedure. This procedure requires an algorithm to 2-enumerate χ_2^E for strings up to a certain polynomial length $\ell(n)$. This $\ell(n)$ is the bound used in the formal definitions of Case 1 and Case 2. If such a witness v is found, then N' rejects. If Procedure Prune terminates without producing a witness, then N' accepts. So, if N'(x) rejects on all paths, $x \in \overline{H}$. Hence, in the second strategy, the base machine N will accept only if the search for witnesses succeeds for all u. Thus, acceptance by the second strategy is correct even if it turns out that x is not a hard string. Combining the two strategies, we have an NP^{NP} algorithm for \overline{H} . Therefore, PH collapses to Σ_2^P .

The proofs of Theorem 21 and Lemma 22 use a tree pruning technique to search for witnesses. This tree pruning technique was discovered independently by Beigel, Kummer and Stephan [BKS95], by Ogihara [Ogi95] and by Agrawal and Arvind [AA96]. This technique was used to show that if a language A is d-self-reducible and is a bd-cylinder, then either $A \in P$ or A is p-superterse. Here a language A is called a bd-cylinder if there exists a polynomial-time computable binary OR function f such that for all x and y

$$x \in A \lor y \in A \iff f(x,y) \in A.$$

In our applications we need to consider the unbounded analog of a bd-cylinder. We call a set A a d-cylinder² if there exists a polynomial-time computable any-ary OR function f such that for all sequences $\langle x_1, \ldots, x_t \rangle$

$$\#_{\omega}^{A}(x_1,\ldots,x_t) \geq 1 \iff f(x_1,\ldots,x_t) \in A.$$

¹Other tree pruning procedures, such as those by Amir, Beigel and Gasarch [ABG90] or Hoene and Nickelsen [HN93] would also work in this restricted case.

²Alternative terminology in the literature refer to such sets A as sets that have OR_{ω} [CK95, AA96].

We will use this tree pruning algorithm in several situation, so we describe it now in general terms. The requirements and parameters for using Procedure Prune (Figure 4) are:

1. An input string w of length n.

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2. A language B formulated as $B = \{w : (\exists u \in \{0,1\}^{b(|w|)})[P(w,u)]\}$ where b(n) is a polynomial in n and P(w,u) is some predicate, not necessarily computable in polynomial time.

If $w \in B$ and P(w, u) holds, then we say that u is a witness for $w \in B$. The objective of the tree pruning is to find such a witness if it exists. From B we define an auxiliary language B' to be the set of witness prefixes. (Clearly, $w \in B$ if and only if $(w, \epsilon) \in B'$.)

$$B' = \{(w, u) : (\exists v \in \{0, 1\}^*) [|uv| = b(|w|) \land P(w, uv)]\}.$$

- 3. A language A that is a d-cylinder via an any-ary OR function f.
- 234 The language B' must reduce to A via a $\leq_{\mathrm{m}}^{\mathrm{P}}$ -reduction h.
- 235 4. A polynomial-time computable function $t(n) \in O(\log n)$.

From t(n) we define a related polynomial-time computable function $\ell(n) \in n^{O(1)}$ as follows. For a single instance w of B, with |w| = n, we need to consider $2^{t(n)-1}$ instances of B' each of length up to n + b(n). Each instance of B' is then reduced to A using the reduction h. This generates $2^{t(n)-1}$ instances of A which is combined into one instance of A using the any-ary OR function f. Then $\ell(n)$ is defined to be a bound on the length of this output from f.

5. A pruning function $g: \{0,1\}^{\ell(n)\times t(n)} \to \{0,1\}^{t(n)}$ such that

$$\forall x_1, \dots, x_{t(n)} \in \{0, 1\}^{\ell(n)}, \ g(x_1, \dots, x_{t(n)}) \neq \chi_{\omega}^A(x_1, \dots, x_{t(n)}).$$

The pruning function q is not necessarily computable in polynomial time.

The general strategy in Procedure Prune is a fairly standard tree pruning strategy. The procedure maintains a list Q of potential witness prefixes. In each iteration of the main loop, each prefix is extended by appending a 0 and a 1 to the prefix. This doubles the number of prefixes in Q. The list Q is then pruned down to $2^{t(n)} - 1$ elements. The entire procedure terminates when the prefixes in Q have reached full length and cannot be further extended.

We claim that if $w \in B$, then Procedure Prune finds a witness for $w \in B$. The main observation is that if $w \in B$, then at every step of the procedure, Q contains some (w, u) where u is the prefix of a witness — i.e., $(w, u) \in B'$. This is certainly true at the beginning of the procedure, since in Step 2 we add every prefix of length t(n) to Q. Suppose that during some iteration of Step 4, the pair (w, u_z) removed from Q is the only pair in $Q \cap B'$. Then, $y_z \in A$ and for $1 \le i \le 2^{t(n)}$, $i \ne z \Longrightarrow y_i \not\in A$. In that case, $\chi_{\omega}^A(x_1, \ldots, x_{t(n)})$ is in fact equal to σ_z . (Here $\{\sigma_1, \ldots, \sigma_{2^{t(n)}}\} = \{0, 1\}^{t(n)}$ and $\sigma_i[d]$ denotes the d-th bit of σ_i .) This violates our assumptions about g. Thus, $w \in B$ implies that $Q \cap B'$ is never empty throughout the execution of the procedure. Obviously, if $w \not\in B$, then the procedure does not produce any witnesses. Also, note that since $|D_d| = 2^{t(n)-1}$, we can also guarantee that each x_d has length bounded by $\ell(n)$.

Procedure Prune takes a polynomial number of iterations, since p(n) is bounded by a polynomial and |Q| never exceeds $2^{t(n)+1}$. However, the complexity of the entire procedure also depends on the complexity of deciding P(w,u) and on the complexity of g. In the proof below, we will use Procedure Prune in two different settings, each with a different complexity.

Procedure Prune

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- 241 1. Input: w with |w| = n242 W.l.o.g. assume that $b(n) \ge t(n)$.
- 243 2. $Q = \{(w, u) : u \in \{0, 1\}^{t(n)}\}.$ 244 Initialize Q to be all witness prefixes of length t(n).
- 3. If for each $(w, u) \in Q$, |u| = b(n), then output the first $(w, u) \in Q$ such that P(w, u) holds. Terminate the procedure.
- When |u| = b(n), then the prefix u cannot be further extended.
- 247 4. Repeat until $|Q| = 2^{t(n)} 1$:
 - (a) let $(w, u_1), \ldots, (w, u_{2t(n)})$ be the first $2^{t(n)}$ elements in Q.
 - (b) for $1 \le i \le 2^{t(n)}$, let $y_i = h(w, u_i)$. Recall that h reduces B' to A.
 - (c) for $1 \le d \le t(n)$, let $D_d = \{y_i : \sigma_i[d] = 1\}$. Here $\{\sigma_1, \dots, \sigma_{2^{t(n)}}\} = \{0, 1\}^{t(n)}$ and $\sigma_i[d]$ denotes the d-th bit of σ_i .
 - (d) for $1 \le d \le t(n)$, use the any-ary OR function f to construct x_d such that $x_d \in A \iff A \cap D_d \ne \emptyset$.
 - (e) let z be the index such that $\sigma_z = g(x_1, \dots, x_{t(n)})$. Remove (w, u_z) from Q. The proof in the text shows that u_z cannot be the unique witness prefix in Q.
 - 5. Replace each $(w, u) \in Q$ with (w, u0) and (w, u1). Goto Step 3. Extend each prefix by one bit. This doubles the size of Q.

Figure 4: Tree-pruning procedure used in Theorem 21 and Lemma 22.

Lemma 22 For $k > j \ge 1$, let H and E be $\le_{\mathrm{m}}^{\mathrm{P}}$ -complete for Σ_k^{P} and Σ_j^{P} respectively. Suppose that r(n) and s(n) are polynomial-time computable functions such that $r(n) \le \epsilon \log n$ for some $\epsilon < 1$ and $s(n) \in O(\log n)$. Then, for all oracles X,

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$$\operatorname{PF}^{H_{r(n)-\operatorname{tt}};E_{s(n)-\operatorname{tt}}} \subseteq \operatorname{PF}^{E_{s(n)-\operatorname{tt}};X_{r(n)-\operatorname{tt}}} \Longrightarrow \operatorname{PH} \subseteq \Sigma_{i+1}^{\operatorname{P}}.$$

Proof: This proof is similar to the proof of Theorem 21. As before, in the easy case we have $\mathrm{OUT}^E(M(\cdots)) \neq \mathrm{OUT}^E(M'(\cdots))$ and in the hard case we have $\mathrm{OUT}^E(M(\cdots)) = \mathrm{OUT}^E(M'(\cdots))$. There are two differences between this proof and the proof of Theorem 21. First, in the proof of Theorem 21, k is coincidentally equal to j+1. In this proof, instead of showing that $\overline{H} \in \mathrm{NP}^E$, we prove that a Π^P_{j+1} complete language L is contained in NP^E . The second difference is that in the easy case of Theorem 21, $\mathrm{OUT}^E(M(x,y,z)) \cap \mathrm{OUT}^E(M'(x,y,z))$ contains exactly one string. This allowed us to determine whether $x \in \overline{H}$ immediately. In the easy case of this proof, $\mathrm{OUT}^E(M(\cdots)) \cap \mathrm{OUT}^E(M'(\cdots))$ may contain more than one string. Nevertheless we can still determine whether $w \in L$ using Procedure Prune to find a witness for $w \in L$.

Consider the function FIRSTH $(\vec{x}, \vec{y}_0, \dots, \vec{y}_{q(n)}) = \sigma \chi_{\omega}^E(y_{\sigma})$ where $\sigma = \chi_{\omega}^H(\vec{x})$ and $q(n) = 2^{r(n)} - 1$. Here \vec{x} is a sequence with r(n) components and each \vec{y}_i is a sequence with s(n) components. Each component of \vec{x} and \vec{y}_{σ} has length $m = n/(r(n) + s(n)2^{r(n)})$ so that $|(\vec{x}, \vec{y}_0, \dots, \vec{y}_{q(n)})| = n$. W.l.o.g. we assume that there exist polynomial-time computable functions $\tilde{r}(m)$ and $\tilde{s}(m)$ such that for $n = m\tilde{r}(m) + m\tilde{s}(m)2^{\tilde{r}(m)}$, $\tilde{r}(m) = r(n)$ and $\tilde{s}(m) = s(n)$. (This is possible because $r(n) \leq \epsilon \log n$.) This allows us to express the number of components in \vec{x} and \vec{y}_{σ} in terms of the length of each component rather than the length of $(\vec{x}, \vec{y}_0, \dots, \vec{y}_{q(n)})$. Since r(n) and s(n) are in $O(\log n)$, $\tilde{r}(m)$ and $\tilde{s}(m)$ are also in $O(\log n)$.

Clearly, FIRSTH $(\vec{x}, \vec{y}_0, \dots, \vec{y}_{q(n)})$ can be computed by a $\operatorname{PF}^{H_T(n)\text{-tt};E_{s(n)\text{-tt}}}$ machine M which uses r(n) parallel queries to H to compute $\sigma = \chi^H_\omega(\vec{x})$ and then uses s(n) parallel queries to E to compute $\chi^E_\omega(\vec{y}_\sigma)$. Now, suppose that there exists a $\operatorname{PF}^{E_{s(n)\text{-tt};X_T(n)\text{-tt}}}$ machine M' which computes FIRSTH. Then, we claim that there exists an NP^E machine which recognizes L, a Π^P_{j+1} complete language.

We construct an NP^E machine which uses Procedure Prune to look for witnesses for $w \in \overline{L}$, where |w| = n. Since $L \in \Pi_{i+1}^P$, \overline{L} can be written as:

$$\overline{L} = \{ w : (\exists^{P} u)(\forall^{P} v) R(w, u, v) \},\$$

where R(w, u, v) is a Δ_{j-1}^{P} computable predicate. Here, \overline{L} will take the place of the language B in Procedure Prune described above and H will take the place of the language A. During the execution of Procedure Prune, we will encounter many instances of $\vec{x} = \langle x_1, \dots, x_{t(n)} \rangle$ produced in Step 4(c). Here, each x_i has length $\leq \ell(n)$. We may assume by padding that each x_i has length exactly $m = \max\{\ell(n), \ell'(n)\}$ for a polynomial-bounded $\ell'(n)$ specified later. Then we can set $t(n) = \tilde{r}(m)$. To satisfy the requirements of Procedure Prune, we must also provide a function g such that $g(\vec{x}) \neq \chi_{\omega}^{H}(\vec{x})$. This is accomplished by an NP^E procedure described next.

Let $\tilde{q}(m) = 2^{\tilde{r}(m)} - 1$. For each pair of vectors (\vec{x}, \vec{y}) , where $\vec{x} = \langle x_1, \dots, x_{\tilde{r}(m)} \rangle \in \{0, 1\}^{m \times \tilde{r}(m)}$ and $\vec{y} = \langle \vec{y}_0, \dots, \vec{y}_{\tilde{q}(m)} \rangle \in \{0, 1\}^{m \times \tilde{s}(m) \times \tilde{q}(m)}$, let $\mathrm{Out}^E(M(\vec{x}, \vec{y}))$ be the set of outputs of $M(\vec{x}, \vec{y})$ on branches of the oracle query tree where the queries to E are answered correctly. The set $\mathrm{Out}^E(M'(\vec{x}, \vec{y}))$ is defined analogously. From the description of the machine M, we know that

$$\mathrm{Out}^E(M(\vec{x}, \vec{y})) = \{ \sigma \chi_{\omega}^E(\vec{y}_{\sigma}) : \sigma \in \{0, 1\}^{\tilde{r}(m)} \}.$$

We call \vec{x} easy if for some \vec{y} , $\text{Out}^E(M(\vec{x}, \vec{y})) \neq \text{Out}^E(M'(\vec{x}, \vec{y}))$. In this case, for at least one string $\sigma \in \{0, 1\}^{\tilde{r}(m)}$, $\sigma \chi_{\omega}^E(\vec{y}_{\sigma}) \notin \text{Out}^E(M'(\vec{x}, \vec{y}))$. Then, we can eliminate the string σ as a possible value for $\chi_{\omega}^H(\vec{x})$. Thus, we have an NP^E algorithm which given \vec{x} as input, produces $\sigma \in \{0, 1\}^{\tilde{r}(m)}$ such that $\sigma \neq \chi_{\omega}^H(\vec{x})$: guess \vec{y} , use the E oracle to compute $\text{Out}^E(M(\vec{x}, \vec{y}))$ and $\text{Out}^E(M'(\vec{x}, \vec{y}))$, then find σ such that $\sigma \chi_{\omega}^E(\vec{y}_{\sigma}) \notin \text{Out}^E(M'(\vec{x}, \vec{y}))$. This algorithm computes the function g required in Procedure Prune. The entire tree pruning procedure can be accomplished by an NP^E computation because deciding the predicate $(\forall^P v)R(w, u, v)$ can be done with the E oracle.

Now, suppose that all the instances of \vec{x} encountered during this execution of Procedure Prune are indeed easy. When the procedure terminates in Step 2, if no witnesses for $w \in \overline{L}$ were found, the NP^E algorithm for L accepts. On the other hand, suppose that one of the \vec{x} is a hard string, then every computation branch of the NP^E computation for L will reject. This is because no computation branch managed to guess \vec{y} such that

$$\operatorname{Out}^{E}(M(\vec{x}, \vec{y})) \neq \operatorname{Out}^{E}(M'(\vec{x}, \vec{y})).$$

To guard against the possibility that \vec{x} is a hard string, every time a new instance of \vec{x} is generated in Step 4(c), we start a new tree pruning procedure which assumes that \vec{x} is a hard string. Recall that if $\vec{x} \in \{0,1\}^{m \times \tilde{r}(m)}$ is a hard string, then

$$\forall \vec{y} = \langle \vec{y}_0, \dots, \vec{y}_{\tilde{q}(m)} \rangle \in \{0, 1\}^{m \times \tilde{s}(m) \times \tilde{q}(m)}, \text{Out}^E(M(\vec{x}, \vec{y})) = \text{Out}^E(M'(\vec{x}, \vec{y})).$$

Observe that given $\mathrm{Out}^E(M(\vec{x},\vec{y}))$, we can recover $\chi^E_{\omega}(\vec{y}_{\sigma})$ for $0 \leq \sigma \leq \tilde{q}(m)$, since $\sigma\chi^E_{\omega}(\vec{y}_{\sigma})$ is the unique string in $\mathrm{Out}^E(M(\vec{x},\vec{y}))$ with prefix σ . In order to use Procedure Prune, we need to produce a function g that, for any $\vec{z} \in \{0,1\}^{m \times t(n)}$, outputs a value in $\{0,1\}^{t(n)}$ that is not $\chi^E_{\omega}(\vec{z})$. Note that t(n) must be in $O(\log n)$ whereas \vec{y} has $\tilde{s}(m)2^{\tilde{r}(m)}$ components. In our procedure for g, we fill most of \vec{y} with dummy strings. Let $t(n) = \tilde{s}(m) + 1$, then $t(n) \in O(\log n)$. On input $\vec{z} = \langle z_1, \ldots, z_{t(n)} \rangle \in \{0,1\}^{m \times t(n)}$, our procedure for g constructs $\vec{y} = \langle 0^m, \ldots, 0^m, z_1, \ldots, z_{t(n)} \rangle$ where the 0^m components are repeated $\tilde{s}(m)2^{\tilde{r}(m)} - t(n)$ times.

Then, the output of $g(\vec{z})$ can be computed as follows. We simulate $M'(\vec{x}, \vec{y})$ where \vec{x} is the possible hard string and \vec{y} is defined as above with \vec{z} embedded. Recall that M' is a $\operatorname{PF}^{E_{s(n)-\operatorname{tt}};X_{r(n)-\operatorname{tt}}}$ computation and queries the E oracle first. For now, fix a sequence $\xi \in \{0,1\}^{\tilde{s}(m)}$ of possible responses from the E oracle. We simulate $M'(\vec{x}, \vec{y})$ using ξ as the response from E and consider the $2^{\tilde{r}(m)}$ possible computation paths that follow. Each of these computation paths assumes a different response from the X oracle. At the end of each path, $M'(\vec{x}, \vec{y})$ should output a value of the form $\sigma \alpha$ where $\sigma \in \{0,1\}^{\tilde{r}(m)}$ and $\alpha \in \{0,1\}^{\tilde{s}(m)}$. Let $\text{Out}^{\xi}(M'(\vec{x},\vec{y}))$ be the set of these $2^{\tilde{r}(m)}$ outputs. Each σ should appear exactly once as a prefix of a string in $\mathrm{OUT}^{\xi}(M'(\vec{x},\vec{y}))$. If not, then we know that either ξ is not the correct response from E or that \vec{x} is not a hard string, since $\mathrm{Out}^E(M'(\vec{x},\vec{y}))$ must equal $\mathrm{Out}^E(M(\vec{x},\vec{y}))$ if \vec{x} is a hard string. In any case we can move on to the next value for ξ . Now suppose that σ does appear exactly once in $\mathrm{Out}^{\xi}(M'(\vec{x},\vec{y}))$. Then, for each string $\sigma\alpha$ in Out^{ξ} $(M'(\vec{x}, \vec{y}))$, α is a possible value for $\chi_{\omega}^{E}(\vec{y}_{\sigma})$. By concatenating the α 's in the correct order, we obtain a possible value for $\chi_{\omega}^{E}(\vec{y})$. Thus, for each $\xi \in \{0,1\}^{\tilde{s}(m)}$ we have a possible value for $\chi_{\omega}^{E}(\vec{y})$. Since one of the ξ is actually the correct response from E, one of these possible values is in fact $\chi_{\omega}^{E}(\vec{y})$. Next, for each candidate for $\chi_{\omega}^{E}(\vec{y})$, we consider the last t(n) bits as a candidate for $\chi_{\omega}^{E}(\vec{z})$. (The leading bits corresponds to the dummy components 0^m .) Again, one of these candidates is in fact $\chi_{\omega}^E(\vec{z})$. Thus, we have $2^{t(n)-1}$ -enumerated $\chi_{\omega}^E(\vec{z})$ for any $\vec{z} \in \{0,1\}^{m \times t(n)}$. Finally, since $2^{t(n)} > 2^{t(n)-1}$, we can use any string in $\{0,1\}^{t(n)}$ that is not one of the candidates for $\chi_{\omega}^E(\vec{z})$ as the output for $g(\vec{z})$. Note that the entire procedure for $g(\vec{z})$ did not use any oracle queries to E or to X. Therefore, assuming that \vec{x} is really a hard string, we have a deterministic polynomial time algorithm for the function g. This satisfies the requirements of Procedure Prune.

So, we can again use Procedure Prune. This time we use the tree pruning procedure to find a witness for $(w, u) \in L_2$ where

$$L_2 = \{ (w, u) : (\exists^P v) \neg R(w, u, v) \}.$$

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Here, R(w,u,v) is the same predicate used in the definition of the Σ_{j+1}^{P} -complete language \overline{L} . Clearly, $w \in L$ if and only if for all u, $(w,u) \in L_2$. In this execution of Procedure Prune, L_2 takes the place of the language B and E takes the place of the language A. We know that $B' \leq_{\mathrm{m}}^{P} A$ because R(w,u,v) is a Δ_{j-1}^{P} predicate and E is complete for Σ_{j}^{P} . Also, in this case, $t(n) = \tilde{s}(m) + 1$ and the function g is computed as described above. Finally, since R(w,u,v) is a Δ_{j-1}^{P} predicate, the entire tree pruning procedure for L_2 can be executed by a Δ_{j-1}^{P} machine.

Let N be a Σ_j^{P} machine which on input w, guesses u and uses the $\Delta_{j-1}^{\mathrm{P}}$ tree pruning procedure described above to find a witness v for $(w,u) \in L_2$. If such a witness is found, N rejects. If the tree pruning procedure terminates without producing a witness, then N accepts. Thus, if N(w) rejects on all paths, a witness for $(w,u) \in L_2$ was found for every u. Our NP^E algorithm for L is simply to ask E whether N(w) accepts. If the answer is NO, then the NP^E algorithm accepts. Furthermore, let $\ell'(n)$ denote the length of the longest component of the sequences given to the function g in this execution of Procedure Prune. Since $m = \max\{\ell(n), \ell'(n)\}$, this guarantees that a single hard string \vec{x} is enough for all the tree pruning procedures invoked by N.

Finally, suppose that \vec{x} is not a hard string and our NP^E procedure accepted. Then, we claim that w is nevertheless in L. To see this, simply note that the NP^E algorithm will accept only when a witness v is found for every u. The validity of this witness was checked in the Δ_{j-1}^P tree pruning procedure by evaluating R(w, u, v) directly. Thus, even when \vec{x} is not a hard string, it is possible that the NP^E algorithm is lucky and accepts correctly. However, this algorithm will never accept incorrectly.

Therefore, in both the easy case and the hard case, the tree pruning procedures have one-sided error — the strategies might reject incorrectly, but never accept incorrectly. That is, either all the \vec{x} are easy strings and the top level tree pruning succeeds, or some \vec{x} is a hard string and the second tree pruning procedure succeeds. In either case, the overall procedure accepts x when $x \in L$ and rejects on all branches when $x \notin L$. Thus, $\Pi_{j+1}^P \subseteq NP^E$ and PH collapses to Σ_{j+1}^P .

The proof of Theorem 20 can be extended to the case where more than two rounds of queries are made. For example, we can modify the proof to show that for polynomial-time computable r(n), s(n) and t(n), such that $r(n) + s(n) \le \epsilon \log n$ (for some $\epsilon < 1$) and $p(n) \in O(\log n)$

$$\mathrm{PF}^{H_r(n)\text{-}\mathrm{tt};H_s(n)\text{-}\mathrm{tt};E}p(n)\text{-}\mathrm{tt}\subseteq\mathrm{PF}^{H_r(n)\text{-}\mathrm{tt};E}p(n)\text{-}\mathrm{tt};H_s(n)\text{-}\mathrm{tt}\Longrightarrow\mathrm{PH}\subseteq\mathrm{NP}^E.$$

In the modified proof, the two sets $\mathrm{Out}^E(M(\vec{x},\vec{y}))$ and $\mathrm{Out}^E(M'(\vec{x},\vec{y}))$ would be defined as before. If the two sets are not equal, then χ^H_ω on inputs from $\{0,1\}^{m\times(\tilde{r}(m)+\tilde{s}(m))}$ is $(2^{\tilde{r}(m)+\tilde{s}(m)}-1)$ -enumerable. If the two sets are equal, then χ^E_ω is $2^{\tilde{p}(m)}$ -enumerable on inputs from $\{0,1\}^{m\times(\tilde{p}(m)+1)}$. Combining the two tree pruning procedures produces an NP^E algorithm for a coNP^E language. We leave the details of this proof to the reader.

It is interesting to note that in the proof of Theorem 20, the complexity of the language H is not used very much. The only requirement that we have for H is that it is hard for coNP^E . In fact, even when E is NP complete and H is Σ_{17}^{P} complete, we only use the fact that all Π_2^{P} languages reduce to H. The same holds for H being PSPACE complete.

However, when the internal complexity of H is very high, that is, when H is bi-immune for NP^E , then we can exploit the complexity of H itself to obtain a stronger collapse:

Theorem 23 Let E be $\leq_{\mathrm{m}}^{\mathrm{P}}$ -complete for Σ_{j}^{P} where $j \geq 1$ and let H be a set bi-immune to NP^{E} . Then, for all oracles X,

$$PF^{H_{1-tt};E_{1-tt}} \subseteq PF^{E_{1-tt};X_{1-tt}} \Longrightarrow P = NP.$$

Proof Sketch: Suppose that $PF^{H_{1-\text{tt}};E_{1-\text{tt}}} \subseteq PF^{E_{1-\text{tt}};X_{1-\text{tt}}}$. Let FIRSTH(x,y,z) be the function we defined in Theorem 21. As before, FIRSTH(x,y,z) is easily computable by a $PF^{H_{1-\text{tt}};E_{1-\text{tt}}}$ machine M. Now, suppose that there exists a $PF^{E_{1-\text{tt}};X_{1-\text{tt}}}$ machine M' which also computes FIRSTH(x,y,z). Then, we define $Out^E(M(x,y,z))$, $Out^E(M'(x,y,z))$ as we did in Theorem 21. As before, we say a string x is easy if $Out^E(M(x,y,z)) \neq Out^E(M'(x,y,z))$ for some $y,z \in \{0,1\}^{\ell(n)}$.

Now, suppose there are infinitely many easy strings. Then, one of the following two NP^E algorithms must be infinite:

Algorithm 1:

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On input x, guess $y, z \in \{0,1\}^{\ell(n)}$. If $\mathrm{Out}^E(M(x,y,z)) = \mathrm{Out}^E(M'(x,y,z))$, reject. Otherwise, $\mathrm{Out}^E(M(x,y,z)) \cap \mathrm{Out}^E(M'(x,y,z))$ contains a single two-bit string. Accept if the first bit of that string is 1.

Algorithm 2:

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On input x, guess $y, z \in \{0,1\}^{\ell(n)}$. If $\mathrm{Out}^E(M(x,y,z)) = \mathrm{Out}^E(M'(x,y,z))$, reject. Otherwise, $\mathrm{Out}^E(M(x,y,z)) \cap \mathrm{Out}^E(M'(x,y,z))$ contains a single two-bit string. Accept if the first bit of that string is 0.

Then, we would have an infinite subset of H or \overline{H} which contradicts the bi-immunity of H. Thus, all strings with length greater than some n_0 must be hard. Therefore, for y,z with length greater than $\ell(n_0)$, $\chi_2^E(y,z)$ is 2-enumerable. Since we can encode $E^{\leq \ell(n_0)}$ in a finite table, $\chi_2^E(y,z)$ is 2-enumerable for all lengths. Finally, since $j \geq 1$ and E is Σ_j^P -complete, we have $\operatorname{SAT} \leq_m^P E$. Thus, $\chi_2^{\operatorname{SAT}}$ is also 2-enumerable and we have $P = \operatorname{NP}$ [Bei91] (or we can use Procedure Prune directly).

6 Discussion

In this paper, we have combined several proof techniques from bounded query complexity — namely mind changes, tree pruning and the hard/easy argument. These techniques do have their limitations, however. For example, the hard/easy argument was used to show that for all $f(n) \in O(n^{\epsilon})$ for some $\epsilon < 1$, $P^{\text{SAT}}f^{(n)\text{-tt}} = P^{\text{SAT}}(f^{(n)+1)\text{-tt}}$ implies that PH collapses [Kad88]. The hard/easy argument fails for f(n) = O(n) and higher. For essentially the same reasons, we are not able to generalize Theorem 17 for r(n) and s(n) beyond $O(n^{\epsilon})$ and Theorem 20 for r(n) beyond $\epsilon \log n$. Improvements to these theorems, we believe, would require significant advances in the state of the art of these proof techniques.

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